

**TENDER** 

FOR

# PROVISION OF GENERAL INSURANCE BROKERAGE SERVICES

TENDER NUMBER: KNL/HQ/T009/2020-2021

Ag. CHIEF EXECUTIVE OFFICER
KENYA NATIONAL LIBRARY SERVICES
MAKTABA KUU BUILDING, UPPER HILL AREA NAIROBI
P.O BOX 30573 -00100
NAIROBI

EMAIL: tender@knls.ac.ke

**TELEPHONE NUMBER: 0728 607 627** 

Website:www.knls.ac.ke

#### **INVITATION TO TENDER**

PROCURING ENTITY: KENYA NATIONAL LIBRARY SERVICE

#### CONTRACT NAME AND DESCRIPTION: PROVISION OF GENERAL INSURANCE BROKERAGE SERVICES

- 1. The **KENYA NATIONAL LIBRARY SERVICE** (knls) invites sealed tenders for the provision of Insurance Services for knls assets at HQ and 64 branches across the country for one-year renewal for further one-year subject to performance appraisal.
- 2. Tendering will be conducted under open competitive tendering method using a standardized tender document and is open to all qualified and interested Tenderers. The tender is open to all eligible and competent insurance firms
- 3. Qualified and interested tenderers may obtain further information and inspect the Tender Documents during office hours from 9.00am to 4.00pm at the address given below. Tender documents may be viewed and/or downloaded from www.knls.ac.ke or www.tenders.go.ke
- 4. A complete set of tender documents may be purchased or obtained by interested tenders upon payment of a non- refundable fees of **Kes One Thousand Shillings (Kes 1000** in cash or Banker's Cheque and payable to the address given below. Tender documents obtained electronically will be free of charge.
- 5. Tender documents may be viewed and downloaded for free from the website www.knls.ac.ke or www.tenders.go.ke. Tenderers who download the tender document must forward their particulars immediately to tender@knls.ac.ke, 0728607627/0722377918 P.O Box 30573 00100 to facilitate any further clarification or addendum.
- 6. All Tenders must be accompanied by tender security of Kes Hundred thousand shillings (**Kes 100,000.00**)
- 7. The Tenderer shall chronologically serialize all pages of the tender documents submitted.
- 8. Completed tenders must be delivered to the address below on or before 10<sup>th</sup> June 2021. Electronic Tenders will not be permitted.
- 9. Tenders will be opened immediately after the deadline date and time specified above or any deadline date and time specified later. Tenders will be publicly opened in the presence of the Tenderers' designated representatives who choose to attend at the address below.
- 10. Late tenders will be rejected.
- 11. The addresses referred to above are:
  - A. ADDRESS FOR OBTAINING FURTHER INFORMATION AND FOR PURCHASING TENDER DOCUMENTS

KENYA NATIONAL LIBRARY SERVICES (knls)
SUPPLY CHAIN MANAGAMENT DEPARTMENT-6<sup>TH</sup> FLOOR
MAKTABA KUU BUILDING, COMMUNITY, NGONG ROAD
P.O BOX 30573 -00100
NAIROBI.

ATT: PRINCIPAL SUPPLY CHAIN OFFICER 0728607627/0722377918 tender@knls.ac.ke

# B. ADDRESS FOR SUBMISSION OF TENDERS.

Ag. CHIEF EXECUTIVE OFFICER
KENYA NATIONAL LIBRARY SERVICES (knls)
MAKTABA KUU BUILDING, COMMUNITY, NGONG ROAD
P.O BOX 30573 -00100
NAIROBI.

# C. ADDRESS FOR OPENING OF TENDERS.

KENYA NATIONAL LIBRARY SERVICES (knls)

MAKTABA KUU BUILDING, COMMUNITY, NGONG ROAD 5<sup>TH</sup> FLOOR CONFERENCE ROOM
P.O BOX 30573 -00100
NAIROBI.

# **AUTHORISED OFFICIAL**

NAME: JAC	K WAFULA
DESIGNATION: Ag. CHIEF EX	ECUTIVE OFFICER
SIGNATURE::	
DATE:	

#### **PART 1 - TENDERING PROCEDURES**

#### **SECTION I - INSTRUCTIONS TO TENDERERS**

#### A. General

#### 1. Scope of Tender

1.1 This tendering document is for the delivery of Insurance services, as specified in Section V, Procuring Entity's Schedule of Requirements. The name of the Procuring Entity, name and identification and number of this tender are specified in the **TDS**.

#### 2 Definitions

- 2.1 Throughout this tendering document:
- The term "in writing" means communicated in written form (e.g. by mail, e-mail, including if specified in the TDS, distributed or received through the electronic-procurement system used by the Procuring Entity) with proof of receipt;
- b) If the contexts requires, "singular" means "plural" and vice versa; and
- c) "Day" means calendar day, unless otherwise specified as "Business Day". A Business Day is any day that is an official working day of the Procuring Entity. It excludes the Procuring Entity's official public holidays.
- 2.2 The successful Tenderer will be expected to commence providing the Insurance Services by Date provided in the TDS. The insurance duration for each item will be one year but can be extended by the period specified in the TDS.

#### 3. Fraud and Corruption

- 3.1 The Procuring Entity requires compliance with the provisions of the Public Procurement and Asset Disposal Act, 2015, Section 62 "Declaration not to engage in corruption". The tender submitted by a person shall include a declaration that the person shall not engage in any corrupt or fraudulent practice and a declaration that the person or his or her sub-contractors are not debarred from participating in public procurement proceedings.
- 3.2 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any tenderer found to have engaged in collusive conduct shall be disqualified and criminal and/or civils actions may be imposed. To this effect, Tenders shall be required to complete and sign the "Certificate of Independent Tender Determination" annexed to the Form of Tender.
- 3.3 Unfair Competitive Advantage -Fairness and transparency in the tender process require that the firms or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to this tender. To that end, the Procuring Entity shall indicate in the **TDS** and make available to all the firms together with this tender document all information that would in that respect give such firm any unfair competitive advantage over competing firms.
- 3.4 Tenderers shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and their personnel, to permit the Procuring Entity to inspect all accounts, records and other documents relating to any initial selection process, pre-qualification process,

tender submission, proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Procuring Entity.

#### 4. Eligible Tenderers

- 4.1 A Tenderer may be a firm that is a private entity, a state-owned enterprise or institution subject to ITT 3.7 orany combination of such entities in the form of a joint venture (JV) under an existing agree mentor with the intent to enter into such an agreement supported by a letter of intent. Only Insurance service providers registered by Insurance Regulatory Authority are eligible to tender and sign contracts. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the tendering process and, in the event the JV is awarded the Contract, during contract execution. Members of a joint venture may not also make an individual tender, be a subcontractor in a separate tender or be part of another joint venture for the purposes of the same Tender. The maximum number of JV members shall be specified in the TDS.
- 4.2 Public Officers of the Procuring Entity, their spouse, child, parent, brother, sister, child, parent or sister of a spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to tender or be awarded a contract. Public Officers are also not allowed to participate in any procurement proceedings.
- 4.3 A Tenderer shall not have a conflict of interest. Any Tenderer found to have a conflict of interest shall be disqualified. A Tenderer may be considered to have a conflict of interest for the purpose of this Tendering process, if the Tenderer:
  - a) Directly or in directly controls, is controlled by or is under common control with another Tenderer; or
  - b) Receives or has received any direct or indirect subsidy from another Tenderer; or
  - c) Has the same legal representative as another Tenderer; or
  - d) Has a relationship with another Tenderer, directly or through common third parties, that puts it in a position to influence the Tender of another Tenderer, or influence the decisions of the Procuring Entity regarding this Tendering process; or
  - e) Or any of its affiliates participated as a consultant in the preparation of the Procuring Entity's Requirements (including Schedules of requirements, Performance Specifications, etc.) for the Insurance services that are the subject of this Tender; or
  - f) or any of its affiliates has been hired (or is proposed to be hired) by the Procuring Entity for the Contract implementation; or
  - g) would be providing goods, works, or services resulting from or directly related to the insurance services specified in the TDS ITT 1.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
  - h) has a close business or family relationship with a professional staff of the Procuring Entity who: (i) are directly or indirectly involved in the preparation of the tendering document or specifications of the contract, and/or the Tender evaluation process of such contract; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the procurement process and execution of the Contract.
- 4.4 A firm that is a Tenderer shall not participate in more than one Tender, except for permitted alternative Tenders. Such participation shall result in the disqualification of all Tenders in which the firm is involved.
- 4.5 A Tenderer may have the nationality of any country, subject to the restrictions pursuant to ITT 4.9. A Tenderer shall be deemed to have the nationality of a country if the Tenderer is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed sub-contractors or sub-consultants for any part of the Contract including related Services.

- 4.6 A Tenderer that has been debarred from participating in public procurement shall be ineligible to tender or be awarded a contract. The list of debarred firms and individuals is available from the website of PPRAwww.ppra.go.ke.
- 4.7 Tenderers that are state-owned enterprises or institutions in Kenya may be eligible to compete and be awarded a Contract(s) if they can establish that they are registered as insurance businesses.
- 4.8 A tenderer under suspension from tendering as the result of the operation of a Tender-Securing Declaration or Proposal-Securing Declaration shall not be eligible to tender.
- 4.9 Firms and individuals may be ineligible if (a) as a matter of law or official regulations, Kenya prohibits commercial relations with that country, or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.
- 4.10 The Insurance Act of Kenya (Revised 2017) requires that insurance companies that wish to offer insurance services in Kenya should be registered with the Insurance Regulatory Authority (IRA) of Kenya to allow them undertake insurance business in Kenya. Registration shall not be a condition for tender, but it shall be a condition of contract award and signature. A selected tenderer shall be given opportunity to register before contract award and signature of contract. Details on application for registration with Insurance Regulatory Authority may be accessed from the website <a href="https://www.ira.go.ke">www.ira.go.ke</a>
- 4.11 The Competition Act of Kenya requires that firms wishing to tender as Joint Venture undertakings which may prevent, distort or lessen competition in provision of services are prohibited unless they are exempt in accordance with the provisions of Section 25 of the Act. JVs will be required to seek for exemption from the Competition Authority. Exemption shall not be a condition for tender, but it shall be a condition of contract award and signature. A JV tenderer shall be given opportunity to seek such exemption as a condition of award and signature of contract. Application for exemption from the Competition Authority of Kenya may be accessed from the website <a href="https://www.ira.go.ke">www.ira.go.ke</a>
- 4.12 A Kenyan tenderer shall provide evidence of having fulfilled his/her tax obligations by producing a current tax compliance certificate or tax exemption certificate issued by the Kenya Revenue Authority.

#### 5. Qualification of the Tenderer

5.1 In the event that pre-qualification of Tenderers has been undertaken as stated in ITT 18.4, the provisions on qualifications of the Section III, Evaluation and Qualification Criteria shall not apply.

#### B. Contents of Tendering Document

#### **6** Sections of Tendering Document

6.1 The tendering document consists of Parts1, 2, and 3, which include all the sections indicated below and should be read in conjunction with any Addenda issued in accordance with ITT 9.

#### **PART 1: Tendering Procedures**

- i) Section I Instructions to Tenderers (ITT)
- ii) Section II Tender Data Sheet (TDS)
- iii) Section III Evaluation and Qualification Criteria
- iv) Section IV Tendering Forms

#### **PART 2: Procuring Entity's Requirements**

v) Section V–Schedule of Requirements

#### **PART 3: Contract**

- vi) Section VI-General Conditions of Contract (GCC)
- vii) Section VII-Special Conditions of Contract (SCC)
- viii) Appendix to the Contract–Insurance Policy
- 6.2 The Invitation to Tender(ITT) or the notice to pre-qualify Tenderers, as the case may be, issued by the Procuring Entity is not part of this tendering document.
- 6.3 Unless obtained directly from the Procuring Entity, the Procuring Entity is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Tender meeting (if any), or Addenda to the tendering document in accordance with ITT 9. In case of any contradiction, documents obtained directly from the Procuring Entity shall prevail.
- 6.4 The Tenderer is expected to examine all instructions, forms, terms of reference, and specifications in the tendering document and to furnish with its Tender all information or documentation as is required by the tendering document.

#### 7. Clarification of Tender Document, Site Visit, Pre-Tender Meeting

- 7.1 ATendererrequiringanyclarificationofthe Tender Documentshall contact the Procuring Entity is address specified in the TDS or raise its enquiries during the pre-Tender meeting if provided for in accordance with ITT 7.2. The Procuring Entity will respond in writing to any request for clarification, provided that such request is received no later than the period specified in the TDS prior to the deadline for submission of tenders. The Procuring Entity shall forward copies of its response to all tenderers who have acquired the Tender D documents in accordance with ITT 7.4, including a description of the inquiry but without identifying its source. If so specified in the TDS, the Procuring Entity shall also promptly publish its response at the web page identified in the TDS. Should the clarification result in changes to the essential elements of the Tender Documents, the Procuring Entity shall amend the Tender Documents following the procedure under ITT 8 and ITT 22.2.
- 7.2 The Tenderer, at the Tenderer's own responsibility and risk, is encouraged to visit and examine and inspect the site(s) and items of the required contracts and obtain all information that may be necessary for preparing a tender. The costs of visiting the Sites shall be at the Tenderer's own expense. The Procuring Entity shall specify in the TDS if a pre-arranged Site visit and or a pre-tender meeting will be held, when and where. The Tenderer's designated representative is invited to attend a pre-arranged site visit and a pre-tender meeting, as the case may be. The purpose of the site visit and the pre-tender meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.3 The Tenderer is requested to submit any questions in writing, to reach the Procuring Entity not later than the period specified in the TDS before the meeting.
- 7.4 Minutes of a pre-arranged site visit and those of the pre-tender meeting, if applicable, including the text of the questions asked by Tenderers and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Tenderers who have acquired the Tender Documents. Minutes shall not identify the source of the questions asked.
- 7.5 The Procuring Entity shall also promptly publish anonymized (no names) Minutes of the pre-arranged site visit and those of the pre-tender meeting at the web page identified in the TDS. Any modification to the Tender Documents that may become necessary as a result of the pre-arranged site visit and those of the pre-tender meeting shall be made by the Procuring Entity exclusively through the issue of an Addendum pursuant to ITT 8 and not through the minutes of the pre-Tender meeting. Non-attendance at the pre-arranged site visit and the pre- tender meeting will not be a cause ford is qualification of a Tenderer.

#### **8** Clarification of Tendering Document

8.1 A Tenderer requiring any clarification of the tendering document shall contact the Procuring Entity in writing

at the Procuring Entity's address specified **in the TDS**. The Procuring Entity will respond in writing to any request for clarification, provided that such request is received prior to the deadline for submission of Tenders within a period specified **in the TDS**. The Procuring Entity shall forward copies of its response to all Tenderers who have acquired the tendering document in accordance with ITT 6.3, including description of the inquiry but without identifying its source. If so specified **in the TDS**, the Procuring Entity shall also promptly publish its response at the web page identified **in the TDS**. Should the clarification result in changes to the essential elements of the tendering document, the Procuring Entity shall amend the tendering document following the procedure under ITT 9 and ITT 23.2.

# 9. Amendment of Tendering Document

- 9.1 At any time prior to the deadline for submission of Tenders, the Procuring Entity may amend the Tendering document by issuing addenda.
- 9.2 Any addendum issued shall be part of the tendering document and shall be communicated in writing to all who have obtained the tendering document from the Procuring Entity in accordance with ITT 6.3. The Procuring Entity shall also promptly publish the addendum on the Procuring Entity's web page in accordance with ITT 8.1.
- 9.3 To give prospective Tenderers reasonable time in which to take an addendum into account in preparing their Tenders, the Procuring Entity shall extend, as necessary, the deadline for submission of Tenders, in accordance with ITT 23.2 below.

# C. Preparation of Tenders

#### 10. Cost of Tendering

10.1 The Tenderer shall bear all costs associated with the preparation and submission of its Tender, and the Procuring Entity shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Tendering process.

#### 11. Language of Tender

11.1 The Tender as well as all correspondence and documents relating to the Tender exchanged by the Tenderer and the Procuring Entity shall be written in the English language. Supporting documents and printed literature that are part of the Tender maybe in another language provided they are accompanied by an accurate translation of the relevant passages in to the English language, in which case, for purposes of interpretation of the Tender, such translation shall govern.

# 12. Documents Comprising the Tender

- 12.1 The Tender shall comprise the following:
- a) Form of Tender prepared in accordance with ITT 13;
- b) **Schedules:** priced Activity Schedule completed in accordance with ITT 13 and ITT 15;
- c) Tender Security or Tender-Securing Declaration in accordance with ITT 20.1;
- d) Alternative Tender: if permissible in accordance with ITT 14;
- e) **Authorization:** written confirmation authorizing the signatory of the Tender to commit the Tenderer, in accordance with ITT 21.3;
- f) **Qualifications:** documentary evidence in accordance with ITT 18 establishing the Tenderer's qualifications to perform the Contract if its Tender is accepted;
- g) **Tenderer's Eligibility**: documentary evidence in accordance with ITT 18 establishing the Tenderer's eligibility to Tender;
- h) **Conformity**: documentary evidence in accordance with ITT 17, that the Services con form to the tendering document:
- i) Sample Insurance Policy for each type of insurance required, and
- j) Any other document required in the TDS.

12.2 The Tenderer shall furnish in the Tender Information Form on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to the is Tender.

#### 13. Form of Tender and Schedule of Requirements

13.1 The Form of Tender and priced Schedule of Requirements shall be prepared using the relevant forms furnished in Section IV, Tendering Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITT 21.3. All blank spaces shall be filled in with the information requested. The Tenderer shall chronologically serialize pages of all tender documents submitted.

#### 14. Alternative Tenders

14.1 Unless otherwise indicated **in the TDS**, alternative Tenders shall not be considered. If alternatives are permitted, only the technical alternatives, if any, of the best Evaluated Tenderer shall be considered by the Procuring Entity.

#### 15. Tender Prices and Discounts

- 15.1 The prices (or premiums) and discounts (including any price reduction) quoted by the Tenderer in the Form of Tender and in the Schedule of Requirements shall conform to the requirements specified below.
- 15.2 The Contract shall be for the Insurance Services of the items described in the Schedule of Requirements submitted by the Tenderer.
- 15.3 The Tenderer shall quote any discounts in the Form of Tender in accordance with ITT 13.1.
- 15.4 All duties, taxes, and other levies pay able by the Insurance Provider under the Contract, or for any other cause, as of the date 28 days prior to the deadline for submission of Tenders, shall be included in the total Tender price submitted by the Tenderer.
- 15.5 If provided for in the TDS, prices quoted by the Tenderer shall be subject to adjustment during the performance of the Contract in accordance with and the provisions of Clause 6.6 of the General Conditions of Contract and/or Special Conditions of Contract. The Tenderer shall submit with the Tender all the information required under the Special Conditions of Contract and of the General Conditions of Contract.

#### 16. Currencies of Tender and Payment

16.1 The currency of the Tender and the currency of payments shall be Kenya Shillings, unless specified otherwise in the **TDS**.

#### 17. Documents Establishing Conformity of Services

- 17.1 To establish the conformity of the Insurance Services to the tendering document, the Tenderer shall furnish as part of its Tender the documentary evidence that Services provided conform to the Procurement Entity's requirements specified in Section VII, Schedule of Requirements.
- 17.2 Standards for provision of the Insurance Services are intended to be descriptive only and not restrictive. The Tenderer may offer other standards of quality provided that it demonstrates, to the Procuring Entity's satisfaction, that the substitutions ensure substantial equivalence or are superior to those specified in the Section V, Schedule of Requirements.
- 17.3 Tenderers shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a Service provider or group of service providers qualifies for a margin of preference. Further the information will enable the Procuring Entity identify any actual or potential conflict of interest in relation to the procurement and / or contract management processes, or a possibility of collusion between tenderers, and there by help to prevent any corrupt influence in relation to the procurement process or

contract management.

- 17.4 The purpose of the information described in ITT 6.2 above overrides any claims to confidentiality which a tenderer may have. There can be no circumstances in which it would be justified for a tenderer to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Procuring Entity as a justification for a Tenderer's failure to disclose, or failure to provide required information on its ownership and control.
- 17.5 The Tenderer shall provide further documentary proof, information or authorizations that the Procuring Entity may request in relation to ownership and control which information on any changes to the information which was provided by the tenderer under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.
- 17.6 All information provided by the tenderer pursuant to these requirements must be complete, current and accurate as at the date of provision to the Procuring Entity. In submitting the information required pursuant to these requirements, the Tenderer shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Procuring Entity.
- 17.7 If a tenderer fails to submit the information required by these requirements, its tender will be rejected. Similarly, if the Procuring Entity is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by a tenderer pursuant to these requirements, then the tender will be rejected.
- 17.8 If information submitted by a tenderer pursuant to these requirements, or obtained by the Procuring Entity (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the tenderer in relation to the procurement or contract management process, then:
- i) If the procurement process is still ongoing, the tenderer will be disqualified from the procurement process,
- ii) if the contract has been awarded to that tenderer, the contract award will be set aside,
- the tenderer will be referred to the relevant law enforcement authorities for investigation of whether the tenderer or any other persons have committed any criminal offence.
- 17.9 If a tenderer submits information pursuant to these requirements that is incomplete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 6.7 will ensue unless the tenderer can show to the reasonable satisfaction of the Procuring Entity that any such act was not material, or was due to genuine err or which was not at tribute able to the intentional act, negligence or recklessness of the tenderer.

# 18. Documents Establishing the Eligibility and Qualifications of the Tenderer

- 18.1 To establish Tenderer's their eligibility in accordance with ITT 4, Tenderers shall complete the Form of Tender, and all Tendering Forms included in Section IV.
- 18.2 The documentary evidence of the Tenderer's qualifications to perform the Contract if its Tender is accepted shall establish to the Procuring Entity's satisfaction that the Tenderer meets each of the qualification criterion specified in Section III, Evaluation and Qualification Criteria.
- 18.3 In the event that pre-qualification of Tenderers has been under taken as stated **in the TDS**, only Tenders from pre- qualified Tenderers shall be considered for award of Contract. These qualified Tenderers should submit with their Tenders any information updating their original pre-qualification applications or, alternatively, confirm in their Tenders that the originally submitted pre-qualification information remains essentially correct as of the date of Tender submission.
- 18.4 If pre-qualification has not taken place before Tendering, the qualification criteria for the Tenderers are

specified- in Section III, Evaluation and Qualification Criteria.

#### 19. Period of Validity of Tenders

- 19.1 Tenders shall remain valid for the Tender Validity period specified **in the TDS**. The Tender Validity period starts from the date fixed for the Tender submission deadline date (as prescribed by the Procuring Entity in accordance with ITT 23.1). A tender valid for a shorter period shall be rejected by the Procuring Entity as non-responsive.
- 19.2 In exceptional circumstances, prior to the expiration of the Tender validity period, the Procuring Entity may request Tenderers to extend the period of validity of their Tenders. The request and the responses shall be made in writing. If a Tender Security is requested in accordance with ITT 20, it shall also be extended for ac or responding period. A Tenderer may refuse the request without forfeiting its Tender Security. A Tenderer granting the request shall not be required or permitted to modify its Tender, except as provided in ITT 19.3.

#### 20. Tender Security

- 20.1 The Tenderer shall furnish as part of its Tender, either a Tender-Securing Declaration or a Tender security, as specified in the TDS, in original form and, in the case of a Tender Security, in the amount and currency specified in the TDS.
- 20.2 A Tender Securing Declaration shall use the form included in Section IV, Tendering Forms.
- 20.3 If a Tender Security is specified pursuant to ITT 20.1, from a reputable source, and an eligible country and shall be in any of the following forms at the Tenderer's option:
  - i) cash;
  - ii) a bank guarantee;
  - iii)a guarantee by an insurance company registered and licensed by the Insurance Regulatory Authority listed by the Authority; or
  - iv) a guarantee issued by a financial institution approved and licensed by the Central Bank of Kenya,
- 20.4 If a Tender Security is specified pursuant to ITT 20.1, any Tender not accompanied by a substantially responsive Tender Security shall be rejected by the Procuring Entity as non-responsive.
- 20.5 If a Tender Security is specified pursuant to ITT 20.1, the Tender Security of unsuccessful Tenderers shall be returned as promptly as possible upon the successful Tenderer's signing the contract and furnishing the Performance Security pursuant to ITT 46. The Procuring Entity shall also promptly return the tender security to the tenderers where the procurement proceedings are terminated, all tenders were determined non responsive or a bidder declines to extend tender validity period.
- 20.6 The Tender Security of the successful Tenderer shall be returned as promptly as possible once the successful Tenderer has signed the Contract and furnished the required Performance Security.
- 20.7 The Tender Security may be forfeited or the Tender-Securing Declaration executed:
  - a) If a Tenderer withdraws its Tender during the period of Tender validity specified by the Tenderer in the Form of Tender, or any extension thereto provided by the Tenderer; or
  - b) If the successful Tenderer fails to:
    - i) Sign the Contract in accordance with ITT 45; or
    - ii) Furnish a performance security in accordance with ITT 46.
- 20.8 Where tender securing declaration is executed, the Procuring Entity shall recommend to the PPRA that PPRA debars the Tenderer from participating in public procurement as provided in the law.
- 20.9 A tenderer shall not issue a tender security to guarantee itself.

#### 21. Format and Signing of Tender

- 21.1 The Tenderer shall prepare one original of the documents comprising the Tender as described in ITT 12, bound with the volume containing the Form of Tender, and clearly marked "Original." In addition, the Tenderer shall submit copies of the Tender, in the number specified in the TDS, and clearly marked as "Copies." In the event of discrepancy between them, the original shall prevail.
- 21.2 Tenderers shall mark as "CONFIDENTIAL" information in their Tenders which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.
- 21.3 The original and all copies of the Tender shall be typed or written in indelible ink and shall be signed by a person or persons duly authorized to sign on behalf of the Tenderer. This authorization shall consist of a written confirmation as specified in the TDS and shall be attached to the Tender. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Tender where entries or amendments have been made shall be signed or initialed by the person signing the Tender.
- 21.4 Any inter-lineation, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Tender.

# D. Submission and Opening of Tenders

# 22. Sealing and Marking of Tenders

- 22.1 The Tenderer shall deliver the Tender in a single, sealed envelope. Within the single envelope the Tenderer shall place the following separate, sealed envelopes:
  - a) In an envelope marked "ORIGINAL", all documents comprising the Tender, as described in ITT 12; and
  - b) in an envelope marked "COPIES", all required copies of the Tender; and
  - c) if alternative Tenders are permitted in accordance with ITT14, and if relevant:
  - i) in an envelope marked "ORIGINAL-ALTERNATIVETENDER", the alternative Tender; and
  - ii) in the envelope marked "COPIES –ALTERNATIVE TENDER" all required copies of the alternative Tender.

#### 22.2 The inner envelopes shall:

- a) Bear the name and address of the Tenderer;
- b) Be addressed to the Procuring Entity in accordance with ITT 23.1;
- c) Bear the specific identification of this Tendering process specified in accordance with TDS 1.1; and
- d) bear a warning not to open before the time and date for Tender opening.
- 22.3 The outer-envelopes shall:
  - a) Be addressed to the Procuring Entity in accordance with ITT 23.1;
  - b) bear the specific identification of this Tendering process specified in accordance with TDS 1.1; and
  - (c) bear a warning not to open before the time and date for Tender opening.
- 22.4 I fall envelopes are not sealed and marked as required, the Procuring Entity will assume no responsibility for the misplacement or premature opening of the Tender. Tenders that were misplaced or opened prematurely will be not be accepted.

#### 23 Deadline for Submission of Tenders

23.1 Tenders must be received by the Procuring Entity at the address and no later than the date and time specified **in the TDS**. When so specified **in the TDS**, Tenderers shall have the option of submitting their

Tenders electronically. Tenderers submitting Tenders electronically shall follow the electronic Tender submission procedures specified in the TDS.

23.2 The Procuring Entity may, at its discretion, extend the deadline for the submission of Tenders by amending the tendering document in accordance with ITT 9, in which case all rights and obligations of the Procuring Entity and Tenderers previously subject to the deadline shall thereafter be subject to the deadline as extended.

#### 24. Late Tenders

24.1 The Procuring Entity shall not consider any Tender that arrives after the deadline for submission of Tenders, in accordance with ITT 23. Any Tender received by the Procuring Entity after the deadline for submission of Tenders shall be declared late, rejected, and returned unopened to the Tenderer.

#### 25 Withdrawal, Substitution and Modification of Tenders

- 25.1 A Tenderer may withdraw, substitute, or modify its Tender after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization (the power of attorney) in accordance with ITT 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Tender must accompany the respective written notice. All notices must be:
  - a) Prepared and submitted in accordance with ITT 21 and ITT 22 (except that with draw all notices do not require copies), and in addition, the respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," or "MODIFICATION;" and
  - b) received by the Procuring Entity prior to the deadline prescribed for submission of Tenders, in accordance with ITT 23.
- 25.2 Tenders requested to be withdrawn in accordance with ITT 25.1 shall be returned un opened to the Tenderers.
- 25.3 No Tender may be withdrawn, substituted, or modified in the interval between the deadline for submission of Tenders and the expiration of the period of Tender validity specified by the Tenderer on the Form of Tender or any extension thereof.

# 26. Tender Opening

- 26.1 Except as in the cases specified in ITT 23 and ITT 25.2, the Procuring Entity shall, at the Tender opening, publicly open and read out all Tenders received by the deadline at the date, time and place specified in the TDS in the presence of Tenderers' designated representatives and anyone who choose to attend. Any specific electronic Tender opening procedures required if electronic tendering is permitted in accordance with ITT 23.1, shall be as specified in the TDS.
- 26.2 First, envelopes marked "WITHDRAWAL" shall be opened and read out and the envelope with the corresponding Tender shall not be opened, but returned to the Tenderer. If the withdrawal envelope does not contain a copy of the "power of attorney" confirming the signature as a person duly authorized to sign on behalf of the Tenderer, the corresponding Tender will be opened. No Tender withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Tender opening.
- 26.3 Next, envelopes marked "SUBSTITUTION" shall be opened and read out and exchanged with the corresponding Tender being substituted, and the substituted Tender shall not be opened, but returned to the Tenderer. No Tender substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Tender opening.
- 26.4 Next, envelopes marked "MODIFICATION" shall be opened and read out with the corresponding Tender. No Tender modification shall be permitted unless the corresponding modification notice contains a valid

- authorization to request the modification and is read out at Tender opening.
- 26.5 Next, all remaining envelopes shall be opened one at a time, reading out: the name of the Tenderer and whether there is a modification; the total Tender Prices, per lot (contract) if applicable, including any discounts and alternative Tenders; the presence or absence of a Tender Security or Tender-Securing Declaration, if required; and any other details as the Procuring Entity may consider appropriate.
- 26.6 Only Tenders, alternative Tenders and discounts that are opened and read out at Tender opening shall be considered further. The Form of Tender and the priced Activity Schedule are to be initialed by representatives of the Procuring Entity attending Tender opening in the manner specified in the TDS.
- 26.7 The Procuring Entity shall neither discuss the merits of any Tender nor reject any Tender (except for late Tenders, in accordance with ITT 24.1).
- 26.8 The Procuring Entity shall prepare a record of the Tender opening that shall include, as a minimum:
  - a) The name of the Tenderer and whether there is a withdrawal, substitution, or modification;
  - b) the Tender Price, per lot (contract) if applicable, including any discounts; and
  - c) any alternative Tenders;
  - d) the presence or absence of a Tender Security or Tender-Securing Declaration, if one was required.
  - e) Number of pages of each tender document submitted.
- 26.9 The Tenderers' representatives who a represent shall be requested to sign the record. The omission of a Tenderer's signature on the record shall not invalidate the contents and effect of the record. A copy of the tender opening register shall be issued to a tenderer upon request.

#### E. Evaluation and Comparison of Tenders

# 27. Confidentiality

- 27.1 Information relating to the evaluation of Tenders and recommendation of contract award, shall not be disclosed to Tenderers or any other persons not officially concerned with the Tendering process until information on the Intention to Award the Contract is transmitted to all Tenderers in accordance with ITT 41.
- 27.2 Any effort by a Tenderer to influence the Procuring Entity in the evaluation or contract award decisions may result in the rejection of its Tender.
- 27.3 Notwithstanding ITT 27.2, from the time of Tender opening to the time of Contract Award, if any Tenderer wishes to contact the Procuring Entity on any matter related to the Tendering process, it should do so in writing.

#### 28 Clarification of Tenders

- 28.1 To assist in the examination, evaluation, and comparison of Tenders, and qualification of the Tenderers, the Procuring Entity may, at the Procuring Entity's discretion, ask any Tenderer for clarification of its Tender including breakdowns of the prices in the Activity Schedule, and other information that the Procuring Entity may require. Any clarification submitted by a Tenderer in respect to its Tender and that is not in response to a request by the Procuring Entity shall not be considered. The Procuring Entity's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease, in the prices or substance of the Tender shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Procuring Entity in the evaluation of the Tenders, in accordance with ITT 32.
- 28.2 If a Tenderer does not provide clarifications of its Tender by the date and time set in the Procuring Entity's request for clarification, its Tender may be rejected.

#### 29 Deviations, Reservations, and Omissions

- 29.1 During the evaluation of Tenders, the following definitions apply:
  - a) "Deviation" is a departure from the requirements specified in the tendering document;
  - b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the tendering document; and
  - c) "Omission" is the failure to submit part or all of the information or documentation required in the tendering document.

# 30. Determination of Responsiveness

- 30.1 The Procuring Entity's determination of a Tender's responsiveness is to be based on the contents of the Tender itself, as defined in ITT 12.
- 30.2 A substantially responsive Tender is one that meets the requirements of the tendering document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that:
  - a) If accepted, would:
    - i) Affect in any substantial way the scope, quality, or performance of the Insurance Services specified in the Contract; or
    - ii) Limit in any substantial way, inconsistent with the tendering document, the Procuring Entity's rights or the Tenderer's obligations under the Contract; or
  - b) if rectified, would unfairly affect the competitive position of other Tenderers presenting substantially responsive Tenders.
- 30.3 The Procuring Entity shall examine the technical aspects of the Tendersubmitted in accordance with ITT17 and ITT 18, in particular, to confirm that all requirements of Section VII, Schedule of Requirements have been met without any material deviation or reservation, or omission.

#### 31. Non-conformities, Errors and Omissions

- 31.1 If a Tender is not substantially responsive to the requirements of tendering document, it shall be rejected by the Procuring Entity and may not subsequently be made responsive by correction of the material deviation, reservation, or omission. Non-conformities, Errors and Omissions
- 31.2 Provided that a Tender is substantially responsive, the Procuring Entity may waive any non-conformities in the Tender.
- 31.3 Provided that a Tender is substantially responsive, the Procuring Entity may request that the Tenderer submit the necessary information or documentation, within a reasonable period of time, to rectify non-conformities or omissions in the Tender related to documentation requirements. Requesting information or documentation on such non-conformities shall not be related to any aspect of the price of the Tender. Failure of the Tenderer to comply with the request may result in the rejection of its Tender.

#### 32. Arithmetical Errors

- 32.1 The tender sum as submitted and read out during the tender opening shall be absolute and final and shall not be the subject of correction, adjustment or amendment in any way by any person or entity.
- 32.2 Provided that the Tender is substantially responsive, the Procuring Entity shall handle errors on the following basis:
  - a) Any error detected if considered a major deviation that affects the substance of the tender, shall lead to disqualification of the tender as non-responsive.
  - b) Any errors in the submitted tender a rising from am is calculation of unit price, quantity, subtotal and total bid price shall be considered as a major deviation that affects the substance of the tender and shall lead

- to disqualification of the tender as non-responsive. and
- c) If there is a discrepancy between words and figures, the amount in words shall prevail
- 32.3 Tenderers shall be notified of any error detected in their bid during the notification of award

#### 33. Comparison of Tenders and Conversion to Single Currency

- 33.1 The Procuring Entity shall compare the evaluated costs of all substantially responsive Tenders established in accordance with ITT 31.2 to determine the Tender that has the lowest evaluated cost. The comparison shall be on the basis of total cost prices for each offered insurance service.
- 33.2 For evaluation and comparison purposes, the currency (I e s) of the Tender shall be converted in a single currency as specified in the TDS. The source of exchange rate and the date of such exchange rate shall also be specified in the TDS.

# 34 Margin of Preference and Reservations

- 34.1 A margin of preference on local insurance providers may be allowed only when the contract is open to international competitive tendering where foreign contractors are expected to participate in the tendering processandwherethecontractexceedsthevalue/thresholdspecifiedintheRegulations.
- 34.2 A margin of preference shall not be allowed unless it is specified so in the TDS.
- 34.3 Contractsprocuredonbasisofinternationalcompetitivetenderingshallnotbesubjecttoreservationsexclusive tospecificgroupsasprovidedinITT33.4.
- 34.4 Where it is intended to reserve a contract to a specific group of businesses (these groups are Small and Medium Enterprises, Women Enterprises, Youth Enterprises and Enterprises of persons living with disability, as the case maybe), and who are appropriately registered as such by a competent authority, a procuring entity shall ensure that the invitation to tender specifically indicates that only businesses or firms belonging to the specified group are eligible to tender. No tender shall be reserved to more than one group. If not so stated in the Invitation to Tender and in the Tender documents, the invitation to tender will be open to all interested tenderers.

#### 35. Evaluation of Tenders

- 35.1 The Procuring Entity shall use the criteria and methodologies listed in this ITT and Section III, Evaluation and Qualification Criteria. No other evaluation criteria or methodologies shall be permitted. By applying the criteria and methodologies, the Procuring Entity shall determine the Lowest Evaluated Tender. This is the Tender of the Tenderer that meets the qualification criteria and whose Tender has been determined to be:
  - a) Substantially responsive to the tendering document; and
  - b) The lowest evaluated cost.
- 35.2 In evaluating the Tenders, the Procuring Entity will determine for each Tender the Evaluated Tender Price by adjusting the Tender price as follows:
  - a) Prices offered by the Tenderer, corrected appropriately in accordance with ITT 32;
  - b) Price adjustment due to discounts offered in accordance with ITT 15.4;
  - c) converting the amount resulting from applying (a) and (b) above, if allowed, to a single currency in accordance with ITT 33.2;
  - d) the additional evaluation factors are specified in Section III, Evaluation and Qualification Criteria.
- 35.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken in to account in Tender evaluation.

35.4 Where the tender involves multiple items, the tenderer will be allowed to tender for one or more items. Each item will be evaluated in accordance with ITT 35.2. The methodology to determine the lowest evaluated tenderer or tenderers will be base done each item and not a combination of items.

# 36. Comparison of Tenders

36.1 The Procuring Entity shall compare the evaluated costs of all substantially responsive Tenders established in accordance with ITT 35.2 to determine the Tender that has the lowest evaluated cost.

# 37. Abnormally Low Tenders and Abnormally high tenders Abnormally Low Tenders

- 37.1 An Abnormally Low Tender is one where the Tender price, in combination with other constituent elements of the Tender, appears unreasonably low to the extent that the Tender price raises material concerns as to the capability of the Tenderer to perform the Contract for the offered Tender price or that genuine competition between Tenderers is compromised.
- 37.2 In the event of identification of a potentially Abnormally Low Tender by the evaluation committee, the Procuring Entity shall seek written clarifications from the Tenderer, including detailed price analysis of its Tender price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the tendering document.
- 37.3 After evaluation of the price analyses, in the event that the Procuring Entity determines that the Tenderer has failed to demonstrate its capability to perform the Contract for the offered Tender Price, the Procuring Entity shall reject the Tender.

# Abnormally High Tenders

- 37.4 An abnormally high tender price is one where the tender price, in combination with other constituent elements of the Tender, appears unreasonably too high to the extent that the Procuring Entity is concerned that it (the Procuring Entity) may not be getting value for money or it may be paying too high a price for the contract compared with market prices or that genuine competition between Tenderers is compromised.
- 37.5 In case of an abnormally high price, the Procuring Entity shall make a survey of the market prices, check if the estimated cost of the contract is correct and review the Tender Documents to check if the specifications, scope of work and conditions of contract are contributory to the abnormally high tenders. The Procuring Entity may also seek written clarification from the tenderer on the reason for the high tender price. The Procuring Entity shall proceed as follows:
  - i) If the tender price is abnormally high based on wrong estimated cost of the contract, the Procuring Entity may accept or not accept the tender depending on the Procuring Entity's budget considerations.
  - ii) If specifications, cope of work and/or conditions of contract are contributory to the abnormally high tender prices, the Procuring Entity shall reject all tenders and may retender for the contract based on revised estimates, specifications, scope of work and conditions of contract, as the case may be.
- 37.6 If the Procuring Entity determines that the Tender Price is abnormally too high because <u>genuine competition</u> <u>between tenderers is compromised</u> (often due to collusion, corruption or other manipulations), the Procuring Entity shall reject all Tenders and shall institute or cause relevant Government Agencies to institute an investigation on the cause of the compromise, before retendering.

#### 38. Qualification of the Tenderer

- 38.1 The Procuring Entity shall determine to its satisfaction whether the Tenderer that is selected as having submitted the lowest evaluated cost and substantially responsive Tender is eligible and meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
- 38.2 The determination shall be based upon an examination of the documentary evidence of the Tenderer's

- qualifications submitted by the Tenderer, pursuant to ITT 18. The determination shall not take in to consideration the qualifications of other firms such as the Tenderer's subsidiaries, parent entities, affiliates, subcontractors or any other firm(s) different from the Tenderer that submitted the Tender.
- 38.3 An affirmative determination shall be a prerequisite for award of the Contract to the Tenderer. A negative determination shall result in disqualification of the Tender, in which event the Procuring Entity shall proceed to the Tenderer who offers a substantially responsive Tender with the next lowest evaluated cost to make a similar determination of that Tenderer's qualifications to perform satisfactorily.

# 39. Procuring Entity's Right to Accept Any Tender, and to Reject Any or All Tenders

39.1 The Procuring Entity reserves the right to accept or reject any Tender, and to annul the Tendering process and reject all Tenders at any time prior to Contract Award, without there by incurring any liability to Tenderers. In case of annulment, all Tenderers shall be notified with reasons and all Tenders submitted and specifically, Tender securities, shall be promptly returned to the Tenderers.

#### F. Award of Contract

#### 40. Award Criteria

40.1 The Procuring Entity shall award the Contract to the successful tenderer whose tender has been determined to be the Lowest Evaluated Tender.

#### 41. Notice of Intention to enter in to a Contract

- 41.1 Upon award of the contract and Prior to the expiry of the Tender Validity Period the Procuring Entity shall issue a Notification of Intention to Enter in to a Contract/Notification of award to all tenderers which shall contain, at a minimum, the following information:
  - a) The name and address of the Tenderer submitting the successful tender;
  - b) The Contract price of the successful tender;
  - c) a statement of the reason(s) the tender of the unsuccessful tenderer to whom the letter is addressed was unsuccessful, unless the price information in(c) above already reveals the reason;
  - d) the expiry date of the Standstill Period; and
  - e) instructionsonhowtorequestadebriefingand/orsubmitacomplaintduringthestandstillperiod;

#### 42. Standstill Period

- 42.1 The Contract shall not be signed earlier than the expiry of a Stands till Period of 14days to allow any dissatisfied tender to launch a complaint. Where only one Tender is submitted, the Standstill Period shall not apply.
- 42.2 Where a Standstill Period applies, it shall commence when the Procuring Entity has transmitted to each Tenderer the Notification of Intention to Enter in to a Contract with the successful Tenderer.

#### 43. Debriefing by the Procuring Entity

- 43.1 On receipt of the Procuring Entity's Notification of Intention to Enter into a Contract referred to in ITT 43, an unsuccessful tenderer may make a written request to the Procuring Entity for a debriefing on specific issues or concerns regarding their tender. The Procuring Entity shall provide the debriefing within five days of receipt of the request.
- 43.2 Debriefings of unsuccessful Tenderers may be done in writing or verbally. The Tenderer shall bear its own costs of attending such a debriefing meeting.

#### 44. Negotiations

- 44.1 The negotiations shall be held at the place indicated in the TDS with the Tenderer's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Tenderer. The Procuring Entity will constitute a team to negotiate a contract and the terms of the Insurance Policy to be provided.
- 44.2 The negotiations shall start with discussions of the scope of the terms and conditions of the Policy, its conformity to the Procuring Entity's requirements, the conditions and circumstances under which the insured will be financially compensated, and the items that would need to be attended to before the contract is signed and an Insurance Policy issued. These discussions shall not substantially alter the original scope of the Procuring Entity's requirements. The items that would need to be attended to by the Procuring Entity before the contract is signed and an Insurance Policy issued should not be so extended as to render the scope of the required service and its price different from the Procuring Entity's requirements.
- 44.3 The Procuring Entity shall prepare minutes of negotiations that are signed by the Procuring Entity and the Tenderers' authorized representative.

#### 45. Letter of Award

45.1 Prior to the expiry of the Tender Validity Period and upon expiry of the Standstill Period specified in ITT 42.1, upon addressing a complaint that has been filed within the Standstill Period, the Procuring Entity shall transmit the <u>Letter of Award</u> to the successful Tenderer. The letter of award shall request the successful tenderer to furnish the Performance Security within 21days of the date of the letter.

#### 46. Signing of Contract

- 46.1 Upon the expiry of the fourteen days of the Notification of Intention to enter into contract and upon the parties meeting their respective statutory requirements, the Procuring Entity shall send the successful Tenderer the Contract Agreement.
- 46.2 Within fourteen (14) days of receipt of the Contract Agreement, the successful Tenderer shall sign, date, and return it to the Procuring Entity.
- 46.3 The written contract shall be entered into within the period specified in the notification of award and before expiry of the tender validity period.

#### 47. Performance Security

- 47.1 Within twenty-one (21) days of the receipt of the Letter of Award from the Procuring Entity, the successful Tenderer shall furnish the Performance Security and, any other documents required in the TDS, in accordance with the General Conditions of Contract, subject to ITT 38.2 (b), using the Performance Security and other Forms included in Section X, Contract Forms, or another form acceptable to the Procuring Entity. A foreign institution providing a bank guarantee shall have a correspondent financial institution located in Kenya, unless the Procuring Entity has agreed in writing that a correspondent bank is not required.
- 47.2 Failure of the successful Tenderer to submit the above-mentioned Performance Security and other documents required in the TDS or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Tender Security. In that event the Procuring Entity may award the Contract to the Tenderer offering the next Best Evaluated Tender.
- 47.3 Performance security shall not be required for contracts estimated to cost less than the amount specified in the Regulations.

#### 48. Publication of Procurement Contract

48.1 Within fourteen days after signing the contract, the Procuring Entity shall publish the awarded contract at its notice boards and websites; and on the Website of the Authority. At the minimum, the notice shall contain the following information:

- a) Name and address of the Procuring Entity;
- b) Name and reference number of the contract being awarded, a summary of its scope and the selection method used;
- c) The name of the successful Tenderer, the final total contract price, the contract duration.
- d) Dates of signature, commencement and completion of contract;
- e) Names of all Tenderers that submitted Tenders, and their Tender prices as read out at Tender opening.

# 49. Procurement Related Complaint

49.1 The procedures for making Procurement-related Complaints are as specified in the **TDS**.



# **SECTION II - TENDER DATA SHEET (TDS)**

The following specific data for the Insurance services to be procured shall complement, supplement, or amend the provisions in the Instructions to Tenderers(ITT). Whenever there is a conflict, the provisions here in shall prevail over those in ITT.

[Where an e-procurement system is used, modify the relevant parts of the TDS accordingly to reflect the e-procurement process]. [Instructions for completing the Tender Data Sheet are provided, as needed, in the notes in italics mentioned for the relevant ITT].

ITT Reference	A. General					
ITT1.1	TENDER NO. KNL/HQ/T009/2020-2021 PROCURING ENTITY: KENYA NATIONAL LIBRARY SERVICES (knls) THE OF THE ITT: PROVISION OF INSURANCE BROKERAGE SERVICES					
ITT2.3	The intended date commencing providing insurance services is 1st July 2021 the insurance duration for each item will be one year but can be extended by 1-year subject to satisfactory performance					
ITT4.1	The maximum number of members in joint venture shall be: N/A					
	B. Contents of Tendering Document					
ITT7.1	THE TENDERER WILL SUBMIT ANY REQUEST FOR CLARIFICATIONS IN WRITING AT THE ADDRESS:					
	KENYA NATIONAL LIBRARY SERVICES (knls)  MAKTABA KUU BUILDING, UPPER HILL AREA NAIROBI.  6 <sup>TH</sup> FLOOR P.O BOX 30573 -00100  NAIROBI					
ITT17.2	A) Pre-arranged pretender site visit SHALL NOT take place     B) Pre tender meeting SHALL NOT take place					
ITT 7.3	The tenderer will submit any questions in writing to reach the procuring entity not later than <b>3 days</b> before the tender opening.					
ITT 15.5	The premium quoted by the tenderer shall be inclusive of VAT and applicable levies and SHALL BE subject to adjustment during the performance of the contract					
ITT 16.1	The currency of the tender and the currency of payment shall be in Kenya shillings.					
ITT 18.3	Prequalification HAS NOT BEEN undertaken.					
ITT 19.1	The tender validity period shall be <b>126 days</b> .					
ITT 20.1	A tender security of <b>Ksh 100,000 shall be required</b> .					
ITT 21.1	In addition to the original of the tender the number of copies is <b>ONE</b> and another copy in PDF( <b>Flash Disk</b> )					
	D. SUBMISSION AND OPENING OF TENDERS					
ITT23.1	For tender submission purposes only, the procuring address is  KENYA NATIONAL LIBRARY SERVICES (knls)  Maktaba Kuu Building, Upper Hill Area Nairobi. 6th Floor  P.O BOX 30573 -00100 NAIROBI					

	The deadline for tender submission is 10 <sup>th</sup> June 2021 at 10.00 am.  Tenderers SHALL NOT have the option of submitting their tenders electronically.						
ITT 26.6	The form of tender and priced schedule of requirements shall initialed by the <b>5 representatives</b> .						
	E.EVALUATION AND COMPARISON OF TENDER						
ITT 33.2	The currency shall be Kenya shillings and the source of the exchange rate shall be central bank of Kenya as on 10th June, 2021 at 10.00 a.m.						
ITT 34.2	Margin of preference SHALL NOT be allowed.						
	F.AWARD OF CONTRACT						
ITT 44.1	The negotiations shall be held at:						
	KENYA NATIONAL LIBRARY SERVICES (knls) Maktaba Kuu Building, Upper Hill Area Nairobi. 6th Floor P.O BOX 30573 -00100 NAIROBI						
ITT 49.1	The procedures for making a Procurement-related Complaint are available from the PPRA Website <a href="www.ppra.go.ke">www.ppra.go.ke</a> or email <a href="mailto:complaints@ppra.go.ke">complaints@ppra.go.ke</a> .						
	If a Tenderer wishes to make a Procurement-related Complaint, the Tenderer should submit its complaint following these procedures, in writing (by the quickest means available, that is either by hand delivery or email to:						
	For the attention: DIRECTOR GENERAL, PPRA Title/position: DG, PPRA						
	Procuring Entity: KENYA NATIONAL LIBRARY SERVICES						
	Email address: <u>info@ppra.go.ke</u> or <u>complaints@ppra.go.ke</u>						
	In summary, a Procurement-related Complaint may challenge any of the following:						
	(i) the terms of the Tender Documents; and						
	the Procuring Entity's decision to award the contract						

# SECTION III - EVALUATION AND QUALIFICATION CRITERIA PRELIMINARY/ MANDATORY EVALUATION

# 1 A) INSURANCE COMPANY/UNDERWRITER

NO	Item Description	Responsiveness
MR 1	Must be registered with Insurance Regulatory Authority (IRA) for the current year 2021 or a certified perpetual license and copy of the current license be submitted	
MR 2	Must submit Valid Tax Compliance Certificate from Kenya Revenue Authority	
MR 3	Must have paid up capital of at least Kes 300M as evidence by IRA latest report	
MR 4	Must have written gross premiums in the previous year of at least Kes 900Million	
MR 5	Must be member of the Association of Kenya Insurers(AKI) and copy of current membership certificate be submitted	
MR 6	Submit a fully filled self-declaration form in the prescribed format that the person/tenderer is not debarred in the matter of the Public Procurement and Asset Disposal Act, 2015 and its regulations 2020	
MR 7	Submit a fully filled self-declaration form in the prescribed format that the person/tenderer will not engage in any corrupt or any fraudulent practice.	
MR 8	Submit a fully filled self-declaration and commitment in the prescribed format to the code of ethics	
MR 9	The Tender Documents must be Serialized/Paginated in the format 1,2,3(from the cover page to the last page)	
MR 10	The tender must be duly signed by the person lawfully authorized to do so. (Attach authorization letter).	
MR 11	Submit Certified copies of Audited financial accounts for two years i.e. 2019 and 2020	
MR 12	Attach a current CR12 form obtained from the Attorney General's office showing the shareholders of the firm, citizenship or a list of directors of shareholders, shareholding and citizenship or a sworn affidavit of the directors of the company.	

# 1. B) INSURANCE BROKER.

NO	Item Description	Responsi veness
MR1	Must be registered with Insurance Regulatory Authority (IRA) for the current year 2021 and copy of the current license be submitted	
MR2	Must submit a copy of Professional Indemnity Insurance Cover from a reputable Insurance Company with a minimum limit of Kes 200 Million and valid renewal confirmation /endorsement for more than ninety days after the closing date of the tender certified by the issuing underwriter.  NB. Compensation shall be claimed against this cover if the broker fails to perform any of its obligations or provides substandard services or is	
	in breach of any of its material obligations under the contract.	
MR 3	Must be a current member of the Association of Insurance Brokers of Kenya (AIBK) and a copy be submitted.	
MR 4	Submit a copy of the registration certificate and a current valid license issued by Insurance Regulatory Authority	
MR 5	Submit a Duly filled Confidential Business Questionnaire in the prescribed format	
MR 6	Submit a duly filled Form of Tender in the prescribed format in the tender document (do not put in your letter head)	
MR 7	Submit a valid Tender Security of Kshs.100, 000.00 (Kenya Shillings One hundred Thousand only ) in the prescribed format	
MR 8	Must provide an underwriters authorization form.	
MR 9	Must give a list of five (5) corporate clients with a total premium of not less than Kes 20M each in the last three years	
MR 10	Must submit copies of the audited accounts for the last two years i.e. 2019 and 2020	
MR 11	Submit a fully filled self-declaration form in the prescribed format that the person/tenderer will not engage in any corrupt or any fraudulent practice.	
MR 12	Submit a fully filled self-declaration and commitment in the prescribed format to the code of ethics	
MR 13	The Tender Documents must be Serialized/Paginated in the format 1,2,3(from the cover page to the last page)	
MR 14	The tender must be duly signed by the person lawfully authorized to do so. (Attach authorization letter).	
MR 15	Attach a current CR12 form obtained from the Attorney General's office showing the shareholders of the firm, citizenship or a list of directors of shareholders, shareholding and citizenship or a sworn affidavit of the directors of the company.	

NO.	Evaluation Criteria	Evaluation Attribute	Weighting Score	Maximum Score
Т1	Firms Experience (both underwriter and brokers (attach copies of signed contracts, LSO)	Attach evidence of at least 10 years' experience in provision of general insurance cover	Marks)	30
		Evidence of provision of service in the categories above to five (5) current and reputable clients	Others prorated at: No. of clients x10 Marks/5	
			• 10 million and above = (10 Marks)	
		A premium Turnover of Kshs.900 Million over the last 3 years (Cumulatively)	• 900 million and above = (10 Marks)  Others prorated at:	
			Premium x10 Marks/10 Million	
Т2	Qualifications and experience of key personnel (attach copies of relevant CVs and certificates)	Team Leader:	<ul> <li>ACII or AIIK (3marks)</li> <li>Relevant Degree (2Marks)</li> <li>Valid Membership with the relevant professional body in good standing (2 Marks)</li> <li>Relevant experience of at least 5 years and above (2 Marks) others prorated at: No. of years x2 Marks/10years</li> </ul>	20
		Team Member 1:	<ul> <li>Degree in relevant field and above (2 marks)</li> <li>Valid Membership with the any relevant professional body in good standing (2 Marks)</li> <li>Relevant experience of 5 years and above (2 Marks)</li> </ul>	
		Team Member 2:	others on prorate of No. of years x2marks /5years	

Т3	Submission of	Motor Private and	<ul> <li>Diploma in any relevant field and above (2 Marks)</li> <li>Membership with the relevant professional body in good standing (2 Marks)</li> <li>Relevant experience of 2 years and above (1 Mark)</li> <li>Eleven draft policies as</li> </ul>	
13	detailed draft insurance policy documents for all the classes to be insured in the prescribed format from IRA	Commercial Vehicles, Motor cycle, Computer & Elec Equipment, All Risks, Fire& other perils, Burglary, Money, Fidelity guarantee, Plant, Machinery & Equipment Breakdown & Employer Liability	Eleven draft policies as per the classes listed = (5 marks)  Less than eleven prorated at: No. of policy documents x5 marks/ 11	5
T 4	Claim settlement	Proof of claim settlement from the five current and reputable clients listed under criteria No.1 above	5 clients and above = (5 Marks) Others prorated at: No. of clients x5 Marks/5 clients	5
T 5	Demonstration of financial soundness of the underwriters only	Liquidity ratio	Current ratio of 2:1 and above (7.5marks) others below 2:1 gets a Zero.	20
		Quick ratio  Annual Insurance Business turnover	Quick ratio (acid test) of  1:1(7.5Marks) others below 1 gets zero.  One billion and above (5 marks) Others on prorate Turnover x 5 marks/1B	
Т6	Evidence of an installed IT System for claims management	Service agreement, Ownership documents, Purchase receipts, Installation certificate, proof of payment of licenses, Contract execution	Submit installation certificate, proof of payment of license and contract execution (15 Marks)	15
Т7	Outward reinsurance for	Outward reinsurance for the classes of Insurance in	Kshs. 50,000,000 and above =	5

the classes of insurance.	excess of	(5marks)	
(Attach IRA evidence)	Kshs.50,000,000	Others on prorate: Reinsurance amount x 5 marks/50,000,000	
	Total Score		100

N/B: The cut mark to qualify for financial stage is 80%

#### 3. FINANCIAL EVALUATION

- Duly completed price schedules and duly signed form of tender.
- Schedule of prices using the format provided
- The tenderer price schedule for each policy shall be supported by the price quotation from the recommended Underwriter. Broker must submit copies of the quotation indicating premium quoted per class, excess, deductibles, limit of liability, free cover limits (where applicable) and any exclusive clauses which enhance the cover above that provided by standard policy. Excess, free cover limits and limit of liability should be clearly indicated.

Insurance Brokers should ensure that their proposed underwriter forwards copies of their documents either through them or directly to knls in a clearly marked envelop bearing their name and words "UNDERWRITER DOCUMENTS" to be received on or before the stated closing date and time of tender.

NB: The Insurance Broker may be disqualified if the recommended Underwriter is weak financially or has bad record of not honoring insurance claims

Note: The tenderer shall complete the price schedule and all other documents furnished in the tender document indicating the policies quoted for and applicable premiums from 1st July 2021 from 0000hrs.

# 4. AWARD CRITERIA:

Knls will award the contract to the bidder with the lowest evaluated tenderer. In case of a tie the highest technical bidder shall be awarded the tender.

#### SERVICE DELIVERY

- a The appointed underwriter shall be required to sign a service level agreement with knls whose terms and conditions shall be determined by knls
- b The appointed broker shall be required to sign a service level agreement with knls whose terms and conditions shall be determined by knls
- The provision of insurance services will be on yearly basis from 1<sup>st</sup> July 2021 or any other date specified in the tender document and renewable thereafter depending on performance of each individual policy and Library devolution effective date **for the next one year**
- d Where there is variation between the policy document submitted by the insurer and the provisions of the tender document, then the provisions of the tender document shall prevail.
- e The performance of the insurers and brokers shall be evaluated semi-annually with reference to the SLA and evaluation criteria contained herein.

#### MARGIN OF PREFERENCE

**Apply Margin of Preference**, if so allowed to all evaluated and accepted tenders as follows.

- a. If the **TDS** so specifies, the Procuring Entity will grant a margin of preference of fifteen percent (15%) to be loaded on evaluated prices of the foreign tenderers, where the percentage of shareholding of Kenyan citizens is less than fifty-one percent (51%).
- b. Contractors applying for such preference shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a particular contractor or group of contractors qualifies fora margin of preference.
- c. After Tenders have been received and reviewed by the Procuring Entity, responsive Tenders shall be assessed to ascertain their percentage of shareholding of Kenyan citizens. Responsive tenders shall be classified into the following groups:
  - i) Group A: tenders offered by Kenyan insurers and other Tenderers where Kenyan citizens hold shares of over fifty one percent (51%).
  - ii) Group B: tenders offered by foreign insurers and other Tenderers where Kenyan citizens hold shares of less than fifty one percent (51%).
- d. All evaluated tenders in each group shall, as a first evaluation step, be compared to determine the lowest tender, and the lowest evaluated tender in each group shall be further compared with each other. If, as a result of this comparison, at tender from Group A is the lowest, it shall be selected for the award. If a tender from Group B is the lowest, an amount equal to the percentage indicated in Item 5.1(c) of the respective tender price, including unconditional discounts, if any, shall be added to the evaluated price offered in each tender from Group B. All tenders shall then be compared using new prices with added prices to Group B and the lowest evaluated tender from Group A. If the tender from Group A is still the lowest tender, it shall be selected for award. If not, the lowest evaluated tender from Group B based on the first evaluation price shall be selected.
- 4. Post Qualification Criteria (ITT 38) Post qualification and Contract award (ITT39), more specifically,
  - a) In case the tender <u>was subject to post-qualification</u>, the contract shall be awarded to the lowest evaluated tenderer, <u>subject</u> to confirmation of pre-qualification data, if so required.
  - b) In case the tender was not subject to post-qualification, the tender that has been determined to be the lowest evaluated tenderer shall be considered for contract award, subject to meeting each of the following conditions.

i)	The Tenderer shall demonstrate that it has access to, or has available, liquid assets, un encumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the services cash flow of Kenya Shillings
ii)	Minimum <u>average</u> annual construction turnover of Kenya Shillings[insert amount], equivalent <u>calculated</u> as total certified payments received for contracts in progress and/or completed within the last[insert of year] years.]
iii)	At least(insert number) of contract (s) of a similar nature executed within Kenya, or the East African Community or abroad, that have been satisfactorily and substantially completed as a prime insurer, or joint vent u remember or sub-contractor each of minimum value Kenya shillingsequivalent.
iv)	Other conditions depending on their seriousness.

a) History of non-performing contracts:

Tenderer and each member of JV in case the Tenderer is a JV, shall demonstrate that Non-performance of a contract did not occur because of the default of the Tenderer, or the member of a JV in the last\_\_\_\_\_\_(specify years). The required information shall be furnished in the appropriate form.

#### **b)** Pending Litigation

Financial position and prospective long-term profitability of the Single Tenderer, and in the case the Tenderer is a JV, of each member of the JV, shall remain sound according to criteria established with respect to Financial Capability under Paragraph (i) above i fall pending litigation will be resolved against the Tenderer shall provide information on pending litigations in the appropriate form.

# c) Litigation History

There shall be no consistent history of court/arbitral award decisions against the Tenderer, in the last \_\_\_\_\_\_\_\_(specify years). All parties to the contract shall furnish the information in the appropriate form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the years specified. A consistent history of awards against the Tenderer or any member of a JV may result in rejection of the tender.



#### **SECTION IV- TENDERING FORMS**

# 1. Form of Tender

# **INSTRUCTIONS TO TENDERERS**

- i) The Tenderer must prepare this Form of Tender on stationery with its letterhead clearly showing the Tenderer's complete name and business address.
- ii) All italicized text is to help Tenderer in preparing this form.
- iii) Tenderer must complete and sign CERTIFICATE OF INDEPENDENT TENDER DETERMINATION and the SELF DECLARATION OF THE TENDERER and TENDERER'S ELIGIBILITY CONFIDENTIAL BUSINESS QUESTIONNAIRE all attached to this Form of Tender.
- iv) The Form of Tender shall include the following Forms duly completed and signed by the Tenderer.
  - a) Tenderer's Eligibility Confidential Business Questionnaire
  - b) Certificate of Independent Tender Determination
  - c) Self-Declaration of the Tenderer

Date of this Tender submission: 10th J	<mark>une, 2</mark> 021 of Tender submis	ssion] ITT No.:	[insert numbe	r of ITT process]
Го:	[insert complete name	of Procuring Entity]		

- a) No reservations: We have examined and have no reservations to the tendering document, including Addenda issued in accordance with ITT 9;
- b) Eligibility: We meet the eligibility requirements and have no conflict of interest in accordance with ITT 4;
- c) **Tender-Securing Declaration:** We have not been suspended nor declared ineligible by the Procuring Entity based on execution of a Tender-Securing Declaration or Proposal-Securing Declaration in Kenya in accordance with ITT 21;
- d) **Conformity:** We offer to provide the Insurance Services in conformity with the tendering document of the following: [insert the list of items tendered for and a brief description of the Insurance Services];

SC	SCHEDULE OF TENDERED ITEMS AND PRICES							
1. NO OF ITEM TO BE INSURED	2.BRIEF DESCRIP INSURED	TION OF ITEM TO BE	3.MAJOR CONTIGENCIES REQUIRING INSURANCE	4.VALUE TO BE INSURED	5.INSURANCE PERIOD	6.INSURANCE PREMIUM PER ANNUM( TENDER PRICE)	7.PRICE DISCOUNT S (IF ANY)	8.TOTAL TENDER PRICE FOR INSURANC E PER
						·		ANNUM
1	Fire and special perils	Fire, lightening, bush fire, full explosion, earthquake (fire shock and volcanic eruption) riot, strike, malicious damage, impact damage and special perils as per the policy.	a 64 knls Buildings including branches across the country and contents therein  b Furniture, fittings and fixtures  c Plant and equipment  d Book stock in Head Office and all branches countywide e Consequential loss f Computers	Kshs. 2.5 B.  Kshs 250,000,000  Kshs 350,000,000  Kes 50,000,000  Kshs 40,000.000	2 years			

2	Money	Indemnity to insured	Cash in transit	Kshs. 300,000	2 years		
		against loss of	2. Cash in premises	Kshs. 300,000			
		money	Z. Guerrin premiese	rioner coo,ccc			
		(Money	3. Estimated annual	Kshs. 1,200,000			
		includes cash,	carry				
		negotiable instruments,					
		NHIF stamps,					
		postal orders,					
		cheque					
		(unaddressed					
		and bearer) by					
		any cause occurring					
		during					
		period of					
		insura <mark>nce.</mark>			· ·		
		<ul> <li>Indemnity</li> </ul>					
		against loss of					
		or damage to safes, strong					
		room or till					
		containing					
		money by theft					
		or attempted					
	Duralan	theft	Dook callection		0.400		
3	Burglary	Compensation in respect of loss or	Book collection , furniture, computers	Kshs. 600,000,000	2 years		
		damage to property	and electronic	113113. 000,000,000			
		arising from forcible or	accessories in knls	First loss sum			
		violent entry and/or	premises	insured Kshs			
		exit from owned or		100,000,000			
		leased or donated					
		premises and/or vehicles insured					
		verillica illauleu					

4	Fidelity Guarantee	Indemnity of the insured against loss of money or goods, the property of the insured or for which the insured is legally responsible by the reason of any employee(s) committing any acts of fraud or dishonesty.	Accountants/cashiers/p rocurers  No. of staff 70  Any person Kes 200,000.00  Any one claim Kes 150,000.00  Any one period Kes 250,000.00  Automatic reinstatement of loss  Collusion endorsement  Discovery period 12 months  Loss of stores, stocks and any pecuniary loss by employee  Retroactive cover for 24 hours  Goods held in trust	Total Guaranteed limit Aggregate Kshs 1,000,000	2 years		
5	Public Liability	Legal liability in respect to accidental death, bodily injury/illness, loss or	General Liability Any one claim/any one period	Kshs. 10,000,000	2 years		

		damage to property of third parties including legal expenses.	Food and Drink any     one claim/any one     period	Kshs. 10,000,000			
6	Electronic Equipment/Comput ers	Loss, theft or sudden, accidental and unforeseen damage to electronic equipment.	Personal computers, knls Servers, Printers, photocopying machines, UPS's Computer board, software, network accessories, projectors, ICT equipment's (See Annex 1)	Kshs 31,726,357.00	2 years		
7	All Risks	Indemnity against loss or damage to the specified property from any cause	Generators, 3M Machines, Laptops, and other office equipment	Kshs. 18,420,849.00	2 years		
9	Group Personal Accident/Work Injury Benefits Act Policy/–WIBA plus	Indemnity against knls legal liabilities for accidental bodily injury disablement or death or illness arising out of and in the course of employment during the period of the policy	Events covered  Compensation is payable in accordance with the provisions of WIBA 2007  Death -8years annual earning (Salary and allowance) Permanent Total Disability on percentage awarded on 8years earning	Number of employees 630 Estimated Annual Salary Ksh300,000,000.00 Total sum assured	2 years		

			<ul> <li>→ Temporary total Disability-Daily earning up to 365 days</li> <li>→ Medical expenses – actual expenses incurred subject to a maximum of Kes 500,000.00 per person per accident.</li> <li>→ Disappreance – 8 years' earnings</li> <li>→ Occupational diseases</li> <li>→ Funeral expenses Kes 100,000.00 per person per accident</li> </ul>				
10	Motor insurance Private and Commercial	Indemnity against loss of or damage to moto vehicles and motorcycles and legal	Protection,     recovery and     removal-Kes	REG.NO LOCATION VALUE KAW 023Z- Mbsa	2 years		
		liability arising out of staff vehicles owned or	50,000.00 2. Repair Authority- Kes 50,000.00	1.7M KAW 025Z- Ksm 1 M			
		operated by knls as per schedule annexed hereof:	3. Radio Cassette – Kes 50,000.00	KAW 026Z- Nbi 1.9M KCH 944Q-Nbi 4.4M			

			4. Windscreen/wind ow glass-Kes 50,000.00 5. Personnel effect-Kes 50,000.00 6. Medical expenses Kes 50,000.00	2.7M KBQ 502D-Nbi			
			50,000.50	KAW 024Z-Emb 2.3M			
11	Employers Liability	Legal liability in respect of accident ,death, body injury ,illness ,damage to employee property	Any one person 3,000,000 Any one occurrence Kshs 25,000,000 Any one year Kshs 25,000,000	Estimated Basic Annual Salary Kes 300,000,000.00	2 years		
12	Directors' and Senior Management Liability	Provide indemnity for claims against directors and senior management for their wrongful acts attributable to negligence, error, omissions, commissions in executing their mandate in	No. of Directors 2 and 20 senior management benefits will include.  • Advancement of defence and claim costs  • Past, present and future directors  • Loss of documents	Kshs 10,000,000	2 years		

Т

		their official capacity. Provide cover for personal liability of the Knls Directors and senior officers as individuals and will therefore cover reimbursement in case it has paid claim of a third party and defense costs on behalf of its managers in order to protect them	<ul> <li>Employment practices liability</li> <li>Libel and slander</li> <li>Claims by former directors, officers or employee</li> </ul>				
13	Group Life Assurance Cover (All staff)	Provide benefits to employees in the event of death from illness either by natural causes or accidental  while in service	Benefits includes Death-equivalent of Syears salary Permanent Total Disability — equivalent to Syears salary Last/funeral expenses Kes 200,000.00 Critical illness diagnosed during the period of insurance Reimbursement of medical expenses — Actual expenses incurred Critical illness -30% of the death benefit No accumulation limits or the benefits payment	Estimated Basic Annual Salary Kes 300,000,000	2 years		

			No limit per person per event or per annum 1.e 100% of benefit are payable in the event of the insured risk arising				
14	Group Credit Insurance	Indemnity against risk on credit facilities to all staff i.e salary advance, salary in advance, fridge advance	<ul><li>Death in Service</li><li>Disability extension</li><li>Critical illness</li></ul>	Kshs 200,000	2 years		

#### Note:

- i. The period of cover for all class of risks is valid from 1<sup>st</sup> July 2021 to 30th June 2023 subject to performance appraisal and Library Devolution effective date.
- ii. Kenya National Library service is under no obligation to award these policies or any other as a package or at the stated percentages
- e) **Discounts:** The discounts offered and the methodology for their application are:
  - i) The discounts offered are: [Specify in detail each discount offered.]
  - The exact method of calculations to determine the net price after application of discounts is shown below: [Specify in detail the method that shall be used to apply the discounts];
- Tender Validity Period: Our Tender shall be valid for the period specified in TDS 19.1(as amended if applicable) from the date fixed for the Tender submission deadline (specified in TDS 23.1(as amended if applicable), and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- g) **Performance Security:** If our Tender is accepted, we commit to obtain a Performance Security in accordance with the tendering document;
- h) **One Tender Per Tenderer:** We are not submitting any other Tender (s) as an individual Tenderer, and we are not participating in any other Tender (s) as a Joint Venture member or as a subcontractor, and meet the requirements of ITT 4.3, other than alternative Tenders submitted in accordance with ITT 14;
- i) **Suspension and Debarment**: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or insurance Providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not in eligible under Kenya's official regulations or pursuant to a decision of the United Nations Security Council;
- j) **State-owned enterprise or institution**: [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution]/ [We are a state-owned enterprise or institution but meet the requirements of ITT 4.6];

Commissions, gratuities and fees: We have paid, or will pay the following commissions, gratuities, or fees with respect to the Tendering process or execution of the Contract: [insert complete name of each Recipient, including Insurance Brokers, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity,]

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

[Delete if not appropriate, or amend to suit] We confirm that we understand the provisions relating to Standstill Period as described in this tendering document and the Procurement Regulations.

- (m) **Binding Contract:** We understand that this Tender, together with your written acceptance thereof included in your Form of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (n) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Tender, the Best Evaluated Tender or any other Tender that you may receive;
- (o) Fraud and Corruption: We here by certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.
- (p) **Collusive practices:** We here by certify and confirm that the tender is genuine, non-collusive and made with the intention of accepting the contract if awarded. To this effect we have signed the "Certificate of Independent tender Determination" attached below; and
- (q) Code of Ethical Conduct: We under take to adhere by the Code of Ethics for Persons Participating in Public Procurement and Asset Disposal, copy available from (specify website) during the procurement process and the execution of any resulting contract.
  - (r) We, the Tenderer, have completed fully and signed the following Forms as part of our Tender:
  - Tenderer's Eligibility; Confidential Business Questionnaire to establish we are not in any conflict to interest.
  - ii) Certificate of Independent Tender Determination to declare that we completed the tender without colluding with other tenderers.
  - iii) Self-Declaration of the Tenderer-to declare that we will, if awarded a contract, not engage in any form of fraud and corruption.

iv) Declaration and commitment to the Code of Ethics for Persons	s Participating in Public Procurement and Asset Disposal.
Further, we confirm that we have read and understood the full content and the Form of Tender.	d scope of fraud and corruption as informed in "Appendix 1-Fraud and Corruption" attached to
Name of the Tenderer: * [insert complete name of personnels	on signing the Tender]
Name of the person duly authorized to sign the Tender on behalf of the Tender]	Fenderer: **:[insert complete name of person duly authorized to sign the
Title of the person signing the Tender: [insert complete title of the	ne person signing the Tender]
Signature of the person named above:[insertsignatureofperson	whosenameandcapacityareshownabove]
Date signed:[insertdateofsigning]dayof[	[insertmonth],[insertyear].

### A. TENDERER'S ELIGIBILITY-CONFIDENTIAL BUSINESS QUESTIONNAIRE

### **Instruction to Tenderer**

Tender is instructed to complete the particulars required in this Form, *one form for each entity if Tender is a JV.* Tenderer is further reminded that it is an offence to give false information on this Form.

## a) Tenderer's details

	ITEM	DESCRIPTION
1	Name of the Procuring Entity	
2	Reference Number of the Tender	
3	Date and Time of Tender Opening	
4	Name of the Tenderer	
5	Full Address and Contact Details of the Tenderer.	<ol> <li>Country</li> <li>City</li> <li>Location</li> </ol>
		<ul><li>4. Building</li><li>5. Floor</li><li>6. Postal Address</li><li>7. Name and email of contact person.</li></ul>
6	Current Trade License Registration Number and Expiring date	
7	Name, country and full address (postal and physical addresses, email, and telephone number) of Registering Body/Agency	
8	Description of Nature of Business	
9	Maximum value of business which the Tenderer handles.	
10	If a Kenyan tenderer, he/she has provided a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority.	
11	State if Tenders Company is listed in stock exchange, give name and full address (postal and physical addresses, email, and telephone number) of state which stock exchange	

# **General and Specific Details**

<ul><li>(b) Sole Proprietor, provide the following details</li></ul>	b)	Sole Propri	etor, provide	the following	details.
--	----	-------------	---------------	---------------	----------

Name in full	Age
Nationality	Country of Origin
Citizenship	· · ·

c) Partnership, provide the following details.							
	Names of Partners	Nationality	Citizenship	% Shares owned			
1							
2							

	Names of Partners	 Citizenship	owned
1			
2			
3			
3			

a)	Registered Company,	provide the following details.	

i)	Private or publicCon	pany	/	 	 	

ii)	State the nominal and issued capital of the	Company	 
	Nominal Kenya Shillings (Equivalent)		
	Issued Kenya Shillings (Equivalent)		

iii) Give details of Directors as follows.

	Names	mes of Director Nationality Citizen			Citizenship	% Shares owned
1						
2						
3						

# **DISCLOSURE OF INTEREST-Interest of the Firm in the Procuring Entity.**

i)	Are there any person/persons in	(Name of Procuring Entity) who has/ have an interest or
	relationship in this firm? Yes/No	

If yes, provide details as follows.

	Names of Person	Designation in the Procuring Entity	Interest or Relationship with Tenderer
1			
2			
3			

# ii) Conflict of interest disclosure

	Type of Conflict	YES OR NO	If YES provide details of the relationship
			with Tenderer
1	Tenderer is directly or indirectly controls, is controlled by or is under common control with another tenderer.		
2	Tenderer receives or has received any direct or indirect subsidy from another tenderer.		
3	Tenderer has the same legal representative as another tenderer		
4	Tender has a relationship with another tenderer, directly or through common third parties, that puts it in a position to influence the tender of another tenderer, or influence the decisions of the Procuring Entity regarding this tendering process.		
5	Any of the Tenderer's affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the tender.		
6	Tenderer would be providing goods, works, non-consulting services or consulting services during		
	implementation of the contract specified in this Tender Document.		
7	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who are directly or indirectly involved in the preparation of the Tender document or specifications of the Contract, and/or the Tender evaluation process of such contract.		
8	Tenderer has a close business or family relationship with a professional staff of the Procuring Entity who would be involved in the implementation or supervision of the such Contract.		
9	Has the conflict stemming from such relationship stated in item 7 and 8 above been resolved in a manner acceptable to the Procuring Entity throughout the tendering process and execution of the Contract.		

On behalf of the Tenderer, I certify that the information given above is complete, current and accurate as at t date of submission.	ne
Full Name Title or Designation	
(Signature) (Date)	

<b>B.</b> I, the	CERTIFICATE OF INDEPENDENT TENDER DETERMINATION  e undersigned, in submitting the accompanying Letter of Tender to the
	[Name of Procuring Entity] for:  [Name and number of tender] in response to the
requ	lest for tenders made by: [Name of Tenderer] do hereby make the following ements that I certify to be true and complete in every respect:
I cer	tify, on behalf of [Name of Tenderer] that:
1.	I have read and I understand the contents of this Certificate;
2.	Iunderstand that the Tenderwill be disqualified if this Certificate is found not to be true and complete in every respect;
3.	I am the authorized representative of the Tenderer with authority to sign this Certificate, and to submit the Tender on behalf of the Tenderer;
4.	For the purposes of this Certificate and the Tender, I understand that the word "competitor" shall include any individual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:
	a) Has been requested to submit a Tender in response to this request for tenders;
	b) could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;
5.	The Tenderer discloses that [check one of the following, as applicable]:
	a) The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;
	b) The Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document (s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or arrangements;
6.	In particular, without limiting the generality of paragraphs (5)(a) or (5)(b) above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
	a) prices;
	b) methods, factors or formulas used to calculate prices;
	c) the i <mark>ntention or d</mark> ecision to submit, or not to submit, a tender; or
	d) the submission of a tender which does not meet the specifications of the request for Tenders; except as specifically disclosed pursuant to paragraph (5)(b) above;
7.	In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this request for tenders relates, except as specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph (5)(b) above;
8.	The terms of the Tender have not been, and will not be, knowingly disclosed by the Tenderer, directly or indirectly, to any competitor, prior to the date and time of the official tender opening, or of the awarding of the Contract, whichever comes first, unless otherwise required by law or as specifically disclosed pursuant to paragraph (5)(b) above.
	Name
	Title
	Date
	Name, title and signature of authorized agent of Tenderer and Date]

# **SELF-DECLARATION FORMS**

# FORM SD1

SELF DECLARATION THAT THE PERSON/TENDERER	IS NOT DEBARRED IN THE MATTER OF THE PUBLIC
PROCLIREMENT AND ASSET DISPOSAL ACT 2015	

		, of Post Office Box in the Republic of			as follows:-
1.	for.	any Secretary/ Chief Executiv	of the Company) w	who is a <mark>Bidder in respect o</mark> insert tender title/description	f <b>Tender No</b> ) for
	statement.	nsert name of the Proc <mark>uri</mark> ng	entity) and duly a	authorized and competent	to make this
2.		Bidder, its Directors and subling under Part IV of the Act.	ocontractors have n	not been debarred from pa	rticipating in
3.	THAT what is depone	ed to herein above is true to th	e best of my knowle	dge, information and belief.	
	(Title)	(Signature)		(Date)	
	Bidder Official Stamp				

# FORM SD2

SELF	DECLARATION	ON TH	AT THE TENDERER WILL NOT ENGAGE IN A	ANY CORRUPT OR FRAUDULENT PRACTION
l,			of P. O. Box	being a resident of
			in the Republic of do hereby	make a statement as follows: -
1.			ef Executive/Managing Director/Principal Off(insert name of the Company) who is	
			or (insert tender title/descrip and duly authorized and competent to make this st	
2.	practice and	has no loyees	Bidder, its servants and/or agents /subcontractor of been requested to pay any inducement to any and /or agents of (insert n	member of the Board, Management, Staff
3.		ie Boai	Bidder, its servants and/or agents /subcontractord, Management, Staff and /or employees and /o	
4.			d Bidder will not engage /has not engaged in subject tender	any corrosive practice with other bidders
5.			ne d to here in above is true to the best of my kn	nowledge information and belief.
	(Title)		(Signature)	(Date)
	Bidder's Offic	ial Sta	amp	

Company/ Firm)	DE OF ETHICS(person) on behalf of (Name of the Business/
participating in Public Procurement and Asset Dispo	·
I do hereby commit to abide by the provisions of the and Asset Disposal.	he Code of Ethics for persons participating in Public Procurement
•	
	Telephone E-
mail Name of the Firm/Company	
Date	
(Company Seal/ Rubber Stamp where applicable	e)
Witness	Name
Sign.	
Date	

## D. APPENDIX 1-FRAUD AND CORRUPTION

(Appendix 1 shall not be modified)

### 1. Purpose

The Government of Kenya's Anti-Corruption and Economic Crime laws and their sanction's policies and procedures, Public Procurement and Asset Disposal Act (no. 33 of 2015) and its Regulation, and any other Kenya's Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya.

### 2. Requirements

The Government of Kenya requires that all parties including Procuring Entities, Tenderers, (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents(whether declared or not); and any of their Personnel, involved and engaged in procurement under Kenya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts, and refrain from Fraud and Corruption and fully comply with Kenya's laws and Regulations as per paragraphs1.1above.

Kenya's public procurement and asset disposal act *(no. 33 of 2015)* under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices, and Conflicts of Interest in procurement including consequences for offences committed. A few of the provisions noted below highlight Kenya's policy of no tolerance for such practices and behavior:

- i) A person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
- ii) A person referred to under subsection (1) who contravenes the provisions of that sub-section commits an offence;
- iii) Without limiting the generality of the subsection (1) and (2), the person shall be:
  - a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
  - b) if a contract has already been entered into with the person, the contract shall be voidable;
- iv) The voiding of a contract by the procuring entity under subsection (7) does not limit any legal remedy the procuring entity may have;
- v) An employee or agent of the procuring entity or a member of the Board or committee of the procuring entity who has a conflict of interest with respect to a procurement—
  - a) Shall not take part in the procurement proceedings;
  - b) shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
  - c) shall not be a subcontractor for the tenderer to whom was awarded contract, or a member of the group of tenderers of whom the contract was awarded, but the subcontractor appointed shall meet all the requirements of this Act.
- vi) An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to the procuring entity;
- vii) If a person contravenes subsection (1) with respect to a conflict of interest described in subsection (5)(a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated and all costs incurred by the public entity shall be made good by the awarding officer. Etc.

Incompliance with Kenya's laws, regulations and policies mentioned above, the Procuring Entity:

a) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:

- i) "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
- ii) "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
- iii) "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v) "obstructive practice" is:
  - Deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation
    or making false statements to investigators in order to materially impede investigation by Public
    Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by
    Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/
    or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of
    matters relevant to the investigation or from pursuing the investigation; or
  - acts intended to materially impede the exercise of the PPRA's or the appointed authority's inspectionandauditrightsprovidedforunderparagraph2.3e. below.
- b) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows:

"fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of the procuring entity or the tenderer or the contractor, and includes collusive practices amongst tenderers prior to or after tender submission designed to establish tender prices at artificial non-competitive levels and to deprive the procuring entity of the benefits of free and open competition.

- c) Rejects a proposal for award <sup>1</sup> of a contract if PPR A determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- d) Pursuant to the Kenya's above stated Acts and Regulations, may sanction or debar or recommend to appropriate authority (I e s) for sanctioning and debarment of a firm or individual, as applicable under the Acts and Regulations;
- Requires that a clause be included in Tender documents and Request for Proposal documents requiring(i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers, and their Sub-contractors, Sub-consultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect<sup>2</sup>all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and
- f) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a "Self-Declaration Form" as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

<sup>&</sup>lt;sup>1</sup> For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and tendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in

respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>2</sup>Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by the Procuring Entity to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.



## SCHEDULE OF PRICES FORM

[The Procuring Entity shall fill in these Forms to indicate the List of Insurance Services required by the Procuring Entity [Columns1-4and the Tenderer shall complete columns 5-7as his /her Tender].

1. NO OF ITEM TO BE INSURE D	2.BRIEF DESCRIPTI	ON OF ITEM TO BE		TO BE	MAJOR CONTINGENCIES REQUIRING INSURANCE	4.INSURANCE PERIOD	5.INSURANC E PREMIUM PER ANNUM( TENDER PRICE)	6.PRICE DISCOUNT S (IF ANY)	7.TOTA L TENDE R PRICE FOR INSURA NCE PER
1	Fire and special perils	Fire, lightening, bush fire, full explosion, earthquake (fire shock and volcanic eruption) riot, strike, malicious damage, impact damage and special perils as per the policy.	Kshs. 2.5 B.  Kshs 250,000,000  Kshs 20,000,000		a. 64 knls Buildings including branches across the country and contents therein  b. Furniture, fittings and fixtures  c. Plant and equipment	2 Years			ANNUM
			Kshs 350,000,000 Kes 50,000,0		d. Book stock in Head Office and all branches countywide e. Consequential loss f. Computers				

								<u> </u>
2	Money				2 Years			
		<ul> <li>Indemnity to</li> </ul>	Kshs. 300,000	1.Cash in transit				
		insured						
		against loss	Kshs. 300,000	2.Cash in premises				
		of money						
		(Money	Kshs.	3.Estimated annual				
		includes	1,200,000	carry				
		cash,						
		negotiable						
		instruments, NHIF						
		stamps, postal						
		or <mark>de</mark> rs,						
		cheque						
		(unaddresse						
		d and						
		<mark>beare</mark> r) by						
		any cause						
		o <mark>ccurri</mark> ng						
		d <mark>uring</mark> the						
		p <mark>eriod</mark> of						
		insurance.						
		<ul> <li>Indemnity</li> </ul>						
		against loss						
		of or						
		damage to						
		safes,						
		strong room or till						
		or till containing						
		money by						
		theft or						
		attempted						
		theft						
L		1	l		J l			<u>」</u>

3	Burglary	Compensation in respect of loss or damage to property arising from forcible or violent entry and/or exit from owned or leased or donated premises and/or vehicles insured	Kshs. 600,000,000 First loss sum insured Kshs 100,000,000	Book collection , furniture, computers and electronic accessories in knls premises	2 Years		
4	Fidelity Guarantee	Indemnity of the insured against loss of money or goods, the property of the insured or for which the insured is legally responsible by the reason of any employee(s) committing any acts of fraud or dishonesty.	Total Guaranteed limit Aggregate Kshs 1,000,000	Accountants/cashiers/ procurers No. of staff 70  Any person Kes 200,000.00  Any one claim Kes 150,000.00  Any one period Kes 250,000.00  Automatic reinstatement of loss Collusion endorsement Discovery period 12 months Loss of stores, stocks and any pecuniary loss by employee			

				<ul> <li>→ Retroactive cover for 24 hours</li> <li>→ Goods held in trust</li> </ul>			
5	Public Liability	Legal liability in respect to accidental death, bodily injury/illness, loss or	Kshs. 10,000,000	1. General Liability Any one claim/any one period	2 Years		
		damage to property of third parties including legal expenses.	Kshs. 10,000,000	2. Food and Drink any one claim/any one period			
6	Electronic Equipment/Computer s	Loss, theft or sudden, accidental and unforeseen damage to electronic equipment.	Kshs 31,726,357.00	Personal computers, knls Servers, Printers, photocopying machines, UPS's Computer board, software, network accessories, projectors, ICT equipment's (See Annex 1)	2 Years		
7	All Risks	Indemnity against loss or damage to the specified property from any cause	Kshs. 18,420,849.00	Generators, 3M Machines, Laptops, and other office equipment	2 Years		
9	Group Personal Accident/Work Injury Benefits Act Policy/– WIBA plus	Indemnity against knls legal liabilities for accidental bodily injury disablement or death or illness arising out of and in	Number of employees 630 Estimated Annual Salary Ksh300,000,000	Events covered  Compensatio n is payable in accordance with the provisions of	2 Years		

the course of employment during the period of the policy  Total sum assured  Death -8years annual earning (Salary and allowance)  Permanent Total Disability on percentage awarded on 8years earning  Temporary total Disability- Daily earning up to 365 days  Medical expenses actual expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.  Disability- Daily earning up to 365 days  Medical expenses incurred subject to a maximum of Kes S00,000.00 on per person per accident.

10	Motor insurance Private and Commercial	Indemnity against loss of or damage to moto vehicles and motorcycles and legal liability arising out of staff vehicles owned or operated by knls as	Mbsa 1.7M	Funeral expenses Kes 100,000.00 per person per accident  2 Years  1. Protection, recovery and removal-Kes 50,000.00  2. Repair Authority-Kes
		per schedule annexed hereof:		50,000.00 3. Radio Cassette  -Kes 50,000.00 4. Windscreen/wi ndow glass- Kes 50,000.00 5. Personnel effect-Kes 50,000.00 6. Medical expenses Kes 50,000.00
			KAN 874U-Nbi 0.5M KAW 024Z-Emb 2.3M	

11	Employers Liability	Legal liability in respect of accident ,death, body injury ,illness ,damage to employee property	Estimated Basic Annual Salary Kes 300,000,000.00	Any one person 3,000,000 Any one occurrence Kshs 25,000,000 Any one year Kshs 25,000,000	2 Years		
12	Directors' and Senior Management Liability	Provide indemnity for claims against directors and senior management for their wrongful acts attributable to negligence, error, omissions, commissions in executing their mandate in their official capacity. Provide cover for personal liability of the Knls Directors and senior officers as individuals and will therefore cover reimbursement in case it has paid claim of a third party and defense costs on behalf of its managers in order to protect them	Kshs 10,000,000		2 Years		
13	Group Life Assurance Cover (All staff)	Provide benefits to employees in the event of death from illness either by natural causes or	Estimated Basic Annual Salary Kes 300,000,000	<ul> <li>Benefits includes</li> <li>Death-equivalent of 5years salary</li> <li>Permanent Total Disability –</li> </ul>	2 Years		

14	Group Credit	Indemnity against risk on credit facilities to	Kshs 200,000	<ul> <li>equivalent to 5years salary</li> <li>Last/funeral expenses Kes 200,000.00</li> <li>Critical illness diagnosed during the period of insurance</li> <li>Reimbursement of medical expenses –Actual expenses incurred</li> <li>Critical illness - 30% of the death benefit</li> <li>No accumulation limits or the benefits payment</li> <li>No limit per person per event or per annum 1.e 100% of benefit are payable in the event of the insured risk arising</li> <li>Death in Service</li> </ul>		
	Insurance	all staff i.e salary advance, salary in advance, fridge advance		Disability     extension     Critical illness		

Name of Tenderer	[insert complete name of Tenderer]
Signature of Tenderer	[signature of person signing the Tender]
Date	[insert date]

# TENDERER INFORMATION FORM

be permitted and no substituti	ons shall be accepted.]
Date:	[insert date (as day, month and year) of Tender submission]
ITT No.:	[insert number of Tendering process]
Alternative No.:	[insert identification No if this is a Tender for an alternative]
1. Tenderer's Name finse	rt Tenderer's legal name]
3. Tenderer's actual or int registration]	tended country of registration: [insert actual or intended country of
4. Tenderer's year of regis	stration: [insert Tenderer's year of registration]
5. Tenderer's Address in a registration]	country of registration: [insert Tenderer's legal address in country of
6. Tenderer's Authorized	Representative Information
Name: [insert Authorize	ed Representative's name]
Address: [inse <mark>rt Aut</mark> hor	ized Repr <mark>esentative</mark> 's Address]
Telephone: [insert Author)	orized Representative's telephone/fax numbers]
Email Address: [insert 2	Authorized Representative's email address]
7. Attached are copies of	original documents of [check the box(es) of the attached original documents]
	ration (or equivalent documents of constitution or association), and/or ration of the legal entity named above, in accordance with ITT 4.4.
_	ance certificate or tax exemption certificate issued by the the Kenya, if tender is a Kenyan tenderer, in accordance with ITT 4.15.
☐ In case of state-own establishing:	ned enterprise or institution, in accordance with ITT 4.6 documents
2. Included are the organiz	zational chart, a list of Board of Directors, and the beneficial ownership.

[The Tenderer shall fill in this Form in accordance with the instructions indicated below. No alterations to its format shall

QUA	LIFICATION INFORMAT	TION							
1.1	Constitution or legal sta	tus of Tenderer:		[attach copy]					
	Place of registration:		[insert]						
	Principal place of busine		[insert]						
	Power of attorney of sig	Power of attorney of signatory of Tender:							
1.2	Total annual volume of TDS:		years, in the internationally	traded currency specified in the					
1.3	over the last five years.	The values should be indic		s of <u>a similar nature</u> and volume used for Item 1.2 above. Also list					
	Item Insured and	Name of Procuring Entity and contact person	Type of Services provided and year of completion	Value of contract					
	(a)								
	(b)								
1.4		e last five years: balance s	heets, profit and loss stater	nents, auditors' reports, etc. List					
1.5	Name, addres <mark>s, and</mark> tele Procuring Entity.	ephone, and fac <mark>simile nu</mark> mb	pers of banks that may provi	de references if contacted by the					
1.6	Information reg <mark>arding</mark> any litigation, current or within the last five years, in which the Tenderer is or has beer involved.								
	Other party(ies) Cause of dispute Details of litigation award Amount involved a)								
	b)								
1.7	Statement of compliance	e with the requirements of	ITT 4.2.						
1.8	Any additional information	on required							

N	10	TII	=10	`Δ1	ION	I OF	INT	'FN'	ΓΙΩΝ	TO.	Δ۷Λ	ΙΔΡΙ	ገ
п,	v		- 10							10/	- × 1		_

this Notification For the atte		•	
Address:	[insert Au	thorized Representative's Address]	
•	•	uthorized Representative's telephone/fa	•
Email Address:	[insert Au	thorized Representative's email addres	ss]
•		transmitted to Tenderers. The Notific date and as close to the same time	
	MISSION: This Notification is sent to the control of the control o		
Contract title:	[insert tl	he name of the contract]	
ITT No:	[insert I7	T reference number from Procuremen	t Plan]
	,	notifies you of our decision to award riod. During the Standstill Period you n	
1. The succes  1. No of item to be insured.		to the evaluation of your Tender, and/ Complaint in relation to the decision to  Name of Tenderer	
No 2 No 3			
		s of all Tenderers that submitted a Te e as well as the Tender price as read	
1	2	3	3
No of item to be insured	Description of Item	Name of Tenderer	Tender Price
Nol			
No 2			

### 3. How to request a debriefing

## DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Tender. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Tenderer, contact details; and address the request for debriefing as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Email address: [insert email address]

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

## 4. How to make a complaint

Period: Procurement-related Complaint challenging the decision to award shall be submitted by [insert date and time].

Provide the contract name, reference number, name of the Tenderer, contact details; and address the Procurement-related Complaint as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency:....[insert name of Procuring Entity]

Email address: [insert email address]

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted with in the Standstill Period and received by us before the Standstill Period ends.

In summary, there are four essential requirements:

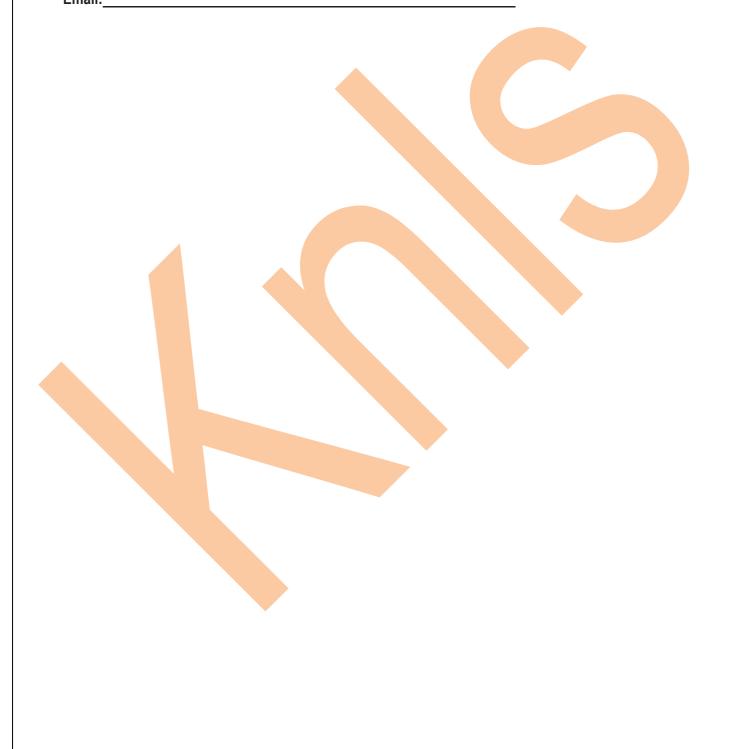
- 1. You must be an 'interested party'. In this case, that means a Tenderer who submitted a Tender in this tendering process, and is the recipient of a Notification of Intention to Award.
- 2. The complaint can only challenge the decision to award the contract.
- 3. You must submit the complaint with in the period stated above.
- 4. You must include, in your complaint, all of the information required to support the complaint.
- 5. The application must be accompanied by the fees set out in the Procurement Regulations, which shall not be refundable (information available from the Public Procurement Authority at complaints@ppra.go.ke
- 5. Standstill Period DEADLINE: The Standstill Period is due to end at midnight on [insert date] (local time).



The Standstill Period may be extended as stated in Section 4 above. If you have any questions regarding this Notification please do not hesitate to contact us.

On behalf of the Procuring Entity:

Signature:		
Name:		
Title/position:		
		T
elephone:		
Email:		



6. NOTIFICATION OF AWARD-FORM OF ACCEPTANCE [Form head paper of the Procuring Entity] .....[date] Contract and identification number, as given in the Special Conditions of Contract] for the Contract Price of the modified in accordance with the Instructions to Tenderers is here by accepted by us (Procuring Entity). You are requested to furnish the Performance Security within 28 days in accordance with the Conditions of Contract, using, for that purpose, one of the Performance Security Forms included in Section X, Contract Forms, of the tender document. Please attached Contract return the dully signed Authorized Signature: Name and Title of Signatory:....

Name of Agency:

Attachment: Contract

#### **Form of Contract**

### **LUMP-SUM REMUNERATION**

This CONTRACT (here in after called the "Contract") is made the [day] day of the month of [month], [year], between, on the one hand, [name of Procuring Entity] (here in after called the "Procuring Entity") and, on the other hand, [name of Insurance Provider](here in after called the "Insurance Provider").

[Note: In the text below text in brackets is optional; all notes should be deleted in final text. If the Insurance Provider consist of more than one entity, the above should be partially amended to read as follows:"... (here in after called the "Procuring Entity") and, on the other hand, a joint venture consisting of the following entities, each of which will be jointly and severally liable to the Procuring Entity for all the Insurance Provider's obligations under this Contract, namely, [name of Insurance Provider] and [name of Insurance Provider] (here in after called the "Insurance Provider").]

### **WHEREAS**

- a) the Procuring Entity has requested the Insurance Provider to provide certain Services as defined in the General Conditions of Contract attached to this Contract (here in after called the "Services");
- b) the Insurance Provider, having represented to the Procuring Entity that they have the required professional skills, and personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract at a contract price of......

NOW THEREFORE the parties hereto hereby agree as follows:

- 1. The following documents shall be deemed to form and be read and construed as part of this Agreement, and the priority of the documents shall be as follows:
  - a) The Form of Acceptance;
  - b) The Insurance Provider's Tender
  - c) The General Conditions of Contract:
  - d) The Special Conditions of Contract;
  - e) The Priced Schedule of Requirements; and
  - f) The following Appendices: Appendix: Negotiated and Signed Insurance Policy (I e s)
- 2. The mutual rights and obligations of the Procuring Entity and the Insurance Provider shall be as set forth in the Contract, in particular:
  - a) The Insurance Provider shall carry out the Services in accordance with the provisions of the Contract; and
  - The Procuring Entity shall make payments to the Insurance Provider in accordance with the provisions of the Contract.

IN WITNESS WHERE OF, the Parties here to have caused this Contract to be signed in the irrespective names as of the day and year first above written.

	For and	on	beh	nalf	of.			 ,	· · · · · · · · · · · · · · · · · · ·	 [name	of	Procuring	En	tity]
1	[Authori	zed	Rep	res	en	tative	]							

For and on behalf of [name of Insurance Provider] [Authorized Representative]

[**Note**: If the Insurance Provider consists of more than one entity, all these entities should appear as signatories, e.g., in the following manner:]

Representative]

7. FORM OF TENDER SECURITY (Bank Guarantee)
[The bank shall fill in this Bank Guarantee Form in accordance with the instructions indicated.] [Guarantor Form head or SWIFT identifier code]
Beneficiary: [Procuring Entity to insert its name and address]
ITT No.:[Procuring Entity to insert reference number for the Request for Tenders]
Alternative No.: [Insert identification No if this is a Tender for an alternative]  Date: [Insert date of issue] TENDER  GUARANTEE No.: [Insert guarantee reference number]  Guarantor: [Insert name and address of place of issue, unless indicated in the Form head]
We have been informed that
At the request of the Applicant, we, as Guarantor, here by irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of
Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date.  This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.
[Signature(s)]  Note: All italicized text is for use in preparing this form and shall be deleted from the final product

8		M OF TENDER SECURITY (TENDER BOND) [The												
		in accordance with the instructions indicated.] BO												
	BY THIS BOND													
	(here in after called" the Surety"), are held and firmly bound un to													
			in the sum of[amount of											
	Bond Suret	<sup>1</sup> ][amount in words], for the payment of which sury, bind ourselves, our successors and as signs, joi	m, well and truly to be made, we, the said Principal and ntly and severally, firmly by these presents.											
	WHE	REAS the Principal has submitted or will submit aDay of												
	Day of,20, for the s  [name of Contract] (hereinafter called the "Tender"). NOW, THERE  EORE THE CONDITION OF THIS ORLIGATION is such that if the Principal:													
	FORE, THE CONDITION OF THIS OBLIGATION is such that if the Principal:  a) has withdrawn its Tender during the period of Tender validity set forth in the Principal's Form of Tender Validity Period"), or any extension there to provide by the Principal; or													
	b)	having been notified of the acceptance of its Tend or any extension there to provide by the Principa	er by the Procuring Entity during the Tender Validity Period al; (i) failed to execute the Contract agreement; or (ii) has cordance with the Instructions to Tenderers ("ITT") of the											
		Then the Surety undertakes to immediately pay to the Procuring Entity up to the above amount upon receipt of the Procuring Entity's first written demand, without the Procuring Entity having to substantiate its demand, provided that in its demand the Procuring Entity shall state that the demand arises from the occurrence of any of the above events, specifying which event (s) has occurred.												
		The Surety here by agrees that its obligation will remain in full force and effect up to and including the date 28days after the date of expiration of the Tender Validity Period set forth in the Principal's Form of Tender or any extension there to provide by the Principal.												
		IN TESTIMONY WHEREOF, the Principal and the the irrespective names thisda	ne Surety have caused these presents to be executed in ay of											
		Principal:	Surety:											
		Corporate Seal (where appropriate)												
			(0)											
		(Signature)	(Signature)											
		(Printed name and title)	(Printed name and title)											
1 -														

<sup>&</sup>lt;sup>1</sup>The amount of the Bond shall be denominated in Kenya Shillings or the equivalent amount in a freely convertible currency.

#### FORM OF TENDER-SECURING DECLARATION

[The Bidder shall complete this Form	in accordance with the instructions indicated]
Date:	[insert date (as day, month and year) of Tender Submission]
Tender No.:	.[insert number of tendering process]
То:	[insert complete name of Purchaser]

I/We, the undersigned, declare that:

- 1. I/We understand that, according to your conditions, bids must be supported by a Tender-Securing Declaration.
- 2. I/We accept that I/we will automatically be suspended from being eligible for tendering in any contract with the Purchaser for the period of time of [insert number of months or years] starting on [insert date], if we are in breach of our obligation (s) under the bid conditions, because we— (a) have withdrawn our tender during the period of tender validity specified by us in the Tendering Data Sheet; or (b) having been notified of the acceptance of our Bid by the Purchaser during the period of bid validity, (i) fail or refuse to execute the Contract, if required, or (ii) fail or refuse to furnish the Performance Security, in accordance with the instructions to tenders.
- 3. I/We understand that this Tender Securing Declaration shall expire if we are not the successful Tenderer (s), upon the earlier of:
  - a) Our receipt of a copy of your notification of the name of the successful Tenderer; or
  - b) Thirty days after the expiration of our Tender.
- 4. I/We understand that if I am/we are/ in a Joint Venture, the Tender Securing Declaration must be in the name of the Joint Venture that submits the bid, and the Joint Venture has not been legally constituted at the time of bidding, the Tender Securing Declaration shall be in the names of all future partners as named in the letter of intent.

Sign	ed∷.													Capacity	/ title
-								sole							
Nam	e:									· · · · · · · · · · · · · · · · · · ·		D	uly	authorized	to sign
the	bid	for	ar	nd	or	behalf	of:		[insert	complete	e n	ame	of	Tenderer]	Dated
on				0	day	of			.[Insert date of	f signing]				_	

Seal or stamp



#### SECTION V – SCHEDULE OF REQUIREMENTS

# Notes for Preparing the Schedule of Requirements

# 1. Objectives

The objectives of the Schedule of Requirements are:

- a) To provide sufficient information on the Insurance Services to be performed to enable Tenders to be prepared efficiently and accurately; and
- b) When a Contract has been entered into, to provide a priced Schedule of Requirements for use in preparing **Insurance Policies**.

The Schedule of Requirements shall be included in the Tendering Document by the Procuring Entity, and shall cover, at a minimum, a description of the Insurance Policies required. In particular, Schedule of Requirements, together with the Price Schedule, should serve as a basis in the event of quantity variation at the time of award of contract.

## 2. List of items to be insured

The Procuring Entity shall list and number each required Insurance Service and provide full descriptions of the main features of the items to be insured, including the timing of cover. These features shall include physical location (of where the item to insured, as the case may be) and full descriptions of the insurance contingencies to be covered, etc. Care should be taken not to be too restrictive as to limit competition and or availability. The list of the Items to be insured is then summarized on the Table below (Schedule of Requirements). The Examples given on the Schedule of Requirements below should not be in the final Tender Document.

E.g.

1. <u>Insurance Item N 1. Office Building (</u>Give full Descriptions of the required item to be insured) and contingencies to be covered.

Name and location of the building	
Number of Floors_	
Estimated area of	square meters
Complete with	
Constructed of	
Estimated value	
Contingencies to be insured	(fire, earthquake/hurricane, civil riot, etc.)
Etc.	
2. <u>InsuranceItemN10.Goodsontransit(</u> GivefullDescrip	otionsoftherequiredGoods).
Description of Goods	
Value of the Goods_	
Location where they are	
Final destination	
Mode of transport	
Duration of transport	
Percentage of value of Goods to be covered	
Contingencies to be insured	(fire, theft, loss of a sinking vessel,
etc.) Etc.	

# 3. SCHEDULE OF REQUIREMENTS

[The Procuring Entity shall fill in this Form to indicate the List of Insurance Services required by the Procuring Entity[Columns1-4andtheTendere rshall complete columns5- 7as his/her Tenderl.

his/her Tei								
1. NO OF	2.BRIEF DESCRIPTION	OF ITEM TO BE	3.VALUE TO	MAJOR	4.INSURANCE PERIOD	5.INSURANCE	6.PRICE	7.TOTAL
ITEM TO	INSURED		BE	CONTINGENCIES		PREMIUM PER	DISCOUNTS	TENDER
BE			INSURED	REQUIRING		ANNUM(	(IF ANY)	PRICE
INSURED				INSURANCE		TENDER	,	FOR
						PRICE)		INSURAN
								CE PER
								ANNUM
1	Fire and	Fire, lightening, bush	Kshs. 2.5 B.	a. 64 knls Buildings	2 Years			7
•	special perils	fire, full explosion,	110110. 2.0 5.	including branches	2 10010			
	opoolal politic	earthquake (fire shock		across the country and				
		and volcanic eruption)		contents therein				
		riot, strike, malicious		contents therein				
		damage, impact						
		damage and special	Kshs 250,000,000	b. Furniture, fittings and				
		perils as per the policy.	110110 200,000,000	fixtures				
		perils as per the policy.		iixtaroo				
			Kshs	c. Plant and equipment				
			20,000,000					
			Kshs 350,000,000	d. Book stock in Head				
				Office and all branches				
				countywide				
			Kes 50,000,000	e. Consequential loss				
				•				
			16 1 40 000 000					
			Kshs 40,000.000	f. Computers				

1								ı
2	Money				2 Years			,
		Indemnity to	Kshs. 300,000	1.Cash in transit				
		insured against loss of money	Kshs. 300,000	2.Cash in premises				
		(Money includes cash,	Kshs. 1,200,000	3.Estimated annual				
		negotiable	1,200,000	carry				
		instruments, NHIF stamps,						
		postal orders,						
		cheque (unaddressed						
		and bearer) by						
		any cause occu <mark>rrin</mark> g						
		d <mark>uring</mark> the p <mark>eriod</mark> of						
		in <mark>suranc</mark> e.						
		<ul> <li>Indemnity against loss of</li> </ul>						
		or <mark>dama</mark> ge to						
		safe <mark>s, s</mark> trong room or till						
		containing						
		money by theft or attempted						
		theft						
3	Burglary	Compensation in respect of loss or	Kshs. 600,000,000	Book collection , furniture, computers and	2 Years			
		damage to property		electronic accessories in				
		arising from forcible or violent entry and/or exit	First loss sum insured Kshs	knls premises				
		from owned or leased or donated premises	100,000,000					
		and/or vehicles insured						

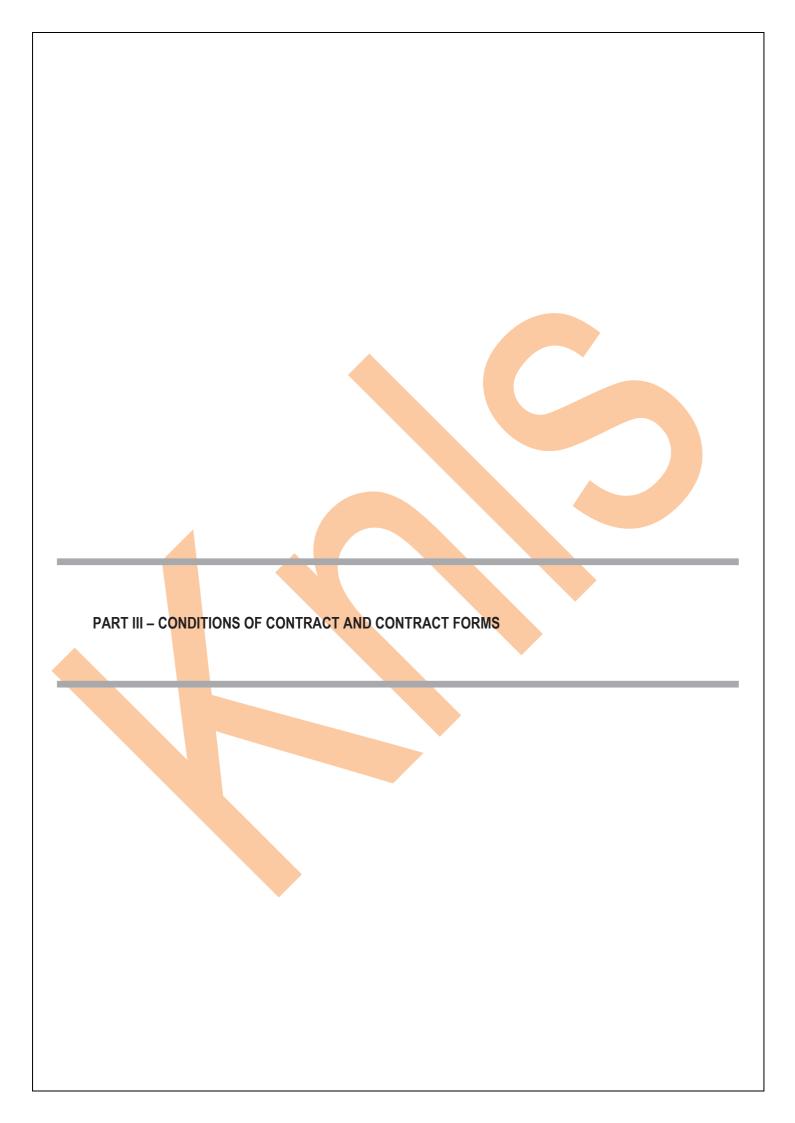
to accidental death. one claim/any one		Fidelity Guarantee  Public Liability	Indemnity of the insured against loss of money or goods, the property of the insured or for which the insured is legally responsible by the reason of any employee(s) committing any acts of fraud or dishonesty.  Legal liability in respect to accidental death,	Total Guaranteed limit Aggregate Kshs 1,000,000	Accountants/cashiers/pro curers No. of staff 70  Any person Kes 200,000.00  Any one claim Kes 150,000.00  Any one period Kes 250,000.00  Automatic reinstatement of loss  Collusion endorsement  Discovery period 12 months  Loss of stores, stocks and any pecuniary loss by employee  Retroactive cover for 24 hours  Goods held in trust  1. General Liability Any one claim/any one				
--	--	--------------------------------------	--	---	---	--	--	--	--

damage to property of third panies including legal expenses.  Kshs. 10,000,000 2. Food and Drink any one claimlarry one period  Electronic Equipment! Computers Equipment! Computers  Indemnity against loss or damage to pie specified property from any cause  Indemnity against los or damage to pie specified property from any cause  Group Personal Accident/Mork thirpuy Benefits Act Policy WIBA plus  Indemnity against los or damage to pie specified property from any cause  Indemnity against los or damage to pie specified property from any cause  Indemnity against linis legal liabilities for accidentable of the policy  Total sum assured  Events covered employees 630 (salay and allowance)  Events covered with the provisions of WIBA 2007  **Permanent Total Disability on percentage awarded on 8 years earning  **Temporary total Disability-Daily earning up to 365 days  **Medical			bodily injury/illness, loss					
damage to property of third parties including legal expenses.  Electronic Equipment/Computers accidental and unforeseen damage to electronic equipment.  All Risks Indemnity against loss or damage to electronic equipment.  Indemnity against loss or damage to the electronic equipment.  Kshs. 13,726,357.00  Indemnity against loss or damage to the electronic equipment.  Kshs. 18,420,849.00  Servers, Printers, photocogying machines or equipments (See Annex 1)  Generators, 3M  Machines, Laptops, and other office equipment disablement or death or illness arising out of and in the course of employment during the period of the policy  WIBA plus  Indemnity against knls legal liabilities for accidental bodity injury Misablement or death or illness arising out of and in the course of employment during the period of the policy  Total sum assured  Fermanent Total Disability on percentage awarded on 8years earning up to 366 days								
third parties including legal expenses.    Electronic   Equipment/Computers   Loss, theft or sudden, accidental and unforeseen damage to electronic equipment.			OI					
Equipment/Computers unforeseen damage to electronic equipment.  7 All Risks Indemnity against loss or damage to the specified properly from any cause  9 Group Personal Accident/Work Injury Benefits Act Policy/WIIBA plus  Indemnity against knls legal liabilities for accidental bodily injury disablement or death or illness arising out of and in the course of employment during the period of the policy  Total sum assured  Total sum assured  1 All Risks  Indemnity against knls legal liabilities for accidental bodily injury disablement or death or illness arising out of and allowance)  Total sum assured  Total sum assured  Permanent Total Disability-Daily earning the period of the policy  Temporary total Disability-Daily earning up to 365 days			third parties including	Kshs. 10,000,000	one claim/any one			
9 Group Personal Accident/Work Injury Benefits Act Policy/- WIBA plus  Silvand and the course of employment during the period of the policy  Total sum assured  18,420,849,00  Machines, Laptops, and other office equipment any cause  Events covered  ## Compensation is payable in accordance with the provisions of WIBA 2007  **Death **Byears annual earning (Salary and allowance)  ## Permanent Total Disability on percentage awarded on 8years earning  ## Temporary total Disability-Daily earning up to 365 days	6	Equipment/Computers	accidental and unforeseen damage to	31,726,357.00	Servers, Printers, photocopying machines, UPS's Computer board, software, network accessories, projectors, ICT equipment's (See Annex 1)			
Legal liabilities for accidental bodily injury disablement or death or illness arising out of and in the course of employment during the period of the policy      Compensation is payable in accordance with the provisions of WIBA 2007	7	All Risks	or damage to the specified property from		Machines, Laptops, and	2 Years		
	9	Accident/Work Injury Benefits Act Policy/–	legal liabilities for accidental bodily injury disablement or death or illness arising out of and in the course of employment during the	employees 630 Estimated Annual Salary Ksh300,000,000.00	<ul> <li>Compensation is payable in accordance with the provisions of WIBA 2007</li> <li>Death -8years annual earning (Salary and allowance)</li> <li>Permanent Total Disability on percentage awarded on 8years earning</li> <li>Temporary total Disability-Daily earning up to 365 days</li> </ul>			

10	Motor insurance Private and Commercial	Indemnity against loss of or damage to moto vehicles and motorcycles and legal liability arising out of staff vehicles owned or operated by knls as per schedule annexed hereof:	LOCATION VALUE KAW 023Z- Mbsa 1.7M KAW 025Z- Ksm 1 M KAW 026Z- Nbi 1.9M KCH 944Q-Nbi 4.4M KBW 075V- Nbi 2.7M KBQ 502D-Nbi 1.9M KCK 501U –Nbi 3.6M KBN 082E-Nbi 1.2M	actual expenses incurred subject to a maximum of Kes 500,000.00 per person per accident.  Dissappreance — 8 years' earnings Cocupational diseases Funeral expenses Kes 100,000.00 per person per accident  7. Protection, recovery and removal-Kes 50,000.00 8. Repair Authority-Kes 50,000.00 9. Radio Cassette — Kes 50,000.00 10. Windscreen/windo w glass-Kes 50,000.00 11. Personnel effect-Kes 50,000.00 12. Medical expenses Kes 50,000.00	2 Years		
			0.5M				

			KAW 024Z-Emb				
			2.3M				
11	Employers Liability	Legal liability in respect	Estimated Basic	Any one person	2 Years		
		of accident ,death, body	Annual Salary Kes	3,000,000			
		injury ,illness ,damage	300,000,000.00	Any one occurrence Kshs			
		to employee property		25,000,000			
				Any one year Kshs			
40	5			25,000,000	0.1/		
12	Directors' and Senior	Provide indemnity for	Kaha 40 000 000	No. of Dispotant Count	2 Years		
	Management Liability	claims against directors	Kshs 10,000,000	No. of Directors 2 and			
		and senior management for their wrongful acts		20 senior management benefits will include.			
		attributable to		Advancement			
		negligence, error,		of defence and			
		omissions, commissions		claim costs			
		in executing their		Past, present			
		mandate in their official		and future			
		capacity.		directors			
		Provide cove <mark>r for</mark>		• Loss of			
		personal liability of the		documents			
		Knls Directors and		<ul> <li>Employment</li> </ul>			
		senior officers as		practices			
		individuals and will		liability			
		therefore cover		<ul> <li>Libel and</li> </ul>			
		reimbursement in case it has paid claim of a		slander			
		third party and defense		• Claims by			
		costs on behalf of its		former			
		managers in order to		directors, officers or			
		protect them					
				employee			
13	Group Life	Provide benefits to	Estimated Basic	Benefits includes	2 Years		
. 5	Assurance Cover (All	employees in the event	Annual Salary Kes	Death-equivalent of	2 . 00.10		
	staff)	of death from illness	300,000,000	5years salary			
<u> </u>	,	either by natural causes					

		or accidental while in service	Permanent Total Disability —  equivalent to 5years salary Last/funeral expenses Kes 200,000.00  Critical illness diagnosed during the			
			period of insurance  Reimbursement of medical expenses – Actual expenses incurred  Critical illness -30% of the death benefit  No accumulation limits or the benefits payment  No limit per person per event or per annum 1.e 100% of benefit are payable in the event of the insured risk arising			
14 Grou	up Credit Insurance	Indemnity against risk on credit facilities to all staff i.e salary advance, salary in advance, fridge advance		2 Years		
						-



## **SECTION VI - GENERAL CONDITIONS OF CONTRACT**

## A. General Provisions

#### 1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- a) "Schedule of Requirements" is the priced and completed list of items of Services to be performed by the Insurance Provider forming part of his Tender;
- b) "Completion Date" means the date of completion of the Services by the Insurance Provider as certified by the Procuring Entity
- c) "Contract" means the Contract signed by the Parties, to which these General Conditions of Contract (GCC) are attached, together with all the documents listed in Clause1 of such signed Contract;
- d) "Contract Price" means the price to be paid for the performance of the Services, in accordance with Clause 6:
- e) "Procuring Entity" means the Procuring Entity or party who employs the Insurance Provider
- f) "Foreign Currency" means any currency other than the currency of Kenya;
- g) "GCC" means these General Conditions of Contract;
- h) "Government" means the Government of Kenya:
- i) "Local Currency" means Kenya shilling;
- j) "Party" means the Procuring Entity or the Insurance Provider, as the case may be, and "Parties" means both of them;
- k) "Personnel" means persons hired by the Insurance Provider;
- 1) "Insurance Provider" is a person or corporate body whose Tender to provide the Services has been accepted by the Procuring Entity;
- m) "Insurance Provider's Tender" means the completed Tendering Document submitted by the Insurance Provider to the Procuring Entity
- n) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented;
- o) "Services" means the work to be performed by the Insurance Provider pursuant to this Contract, as described in Schedule of Requirements included in the Insurance Provider's Tender.
- p) "Public Procurement Regulatory Authority (PPRA)" shall mean the Government Agency responsible for oversight of public procurement.

## 1.2 Applicable Law

The Contract shall be interpreted in accordance with the laws of Kenya.

# 1.3 Language

This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

## 1.4 Notices

Any notice, request, or consent made pursuant to this Contract shall be in writing and shall be deemed to have been made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, hand delivery, or email to such Party at the address **specified in the SCC**.

#### 1.5 Location

The Services shall be performed at such locations as are specified in Appendix A, in the specifications and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as the Procuring Entity may approve.

# 1.6 Authorized Representatives Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Procuring Entity or the Insurance Provider may be taken or executed by the officials **specified** in the SCC.

# 1.7 Inspection and Audit by the PPRA

Pursuant to paragraph 2.2e. of Attachment1 to the General Conditions, the Insurance Provider shall permit and shall cause its subcontractors and sub-consultants to permit, PPRA and/ or persons appointed by PPRA to inspect the Site and/ or the accounts and records relating to the procurement process, selection and/ or contract execution, and to have such accounts and records audited by auditors appointed by PPRA. The Insurance Provider's and its Subcontractors' and sub-consultants' attention is drawn to Sub-Clause 3.10 which provides, inter alia, that acts intended to materially impede the exercise of PPRA's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to PPRA's prevailing sanctions procedures).

## 1.8 Taxes and Duties, etc

The Insurance Provider shall pay such taxes, duties, fees, levies and other impositions as may be levied under the Applicable Law, the amount of which is deemed to have been included in the Contract Price.

# 2. Commencement, Completion, Modification, and Termination of Contract

## 2.21 Effectiveness of Contract

This Contract shall come in to effect on the date the Contract is signed by both parties or such other later date as may be stated in the SCC.

2.2.2 Duration and Commencement of Services The Commencement date and duration of the insurance cover shall be specified in the SCC.

#### 2.3. Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services or of the Contract Price, may only be made by written agreement between the Parties.

## 2.4 Force Majeure

# 2.4.1 Definition

For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party and which makes a Party's performance of its obligations under the Contract impossible or so impractical as to be considered impossible under the circumstances.

## 2.4.2 No Breach of Contract

The failure of a Party to fulfill any of its obligations under the contract shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event (a) has taken all reasonable precautions, due care and reasonable alternative measures in order to carry out the terms and conditions of this Contract, and (b) has informed the other Party as soon as possible about the occurrence of such an event.

#### 2.4.3 Extension of Time

Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

## 2.4.4 Payments

During the period of their inability to perform the Services as a result of an event of Force Majeure, the Insurance Provider shall been titled to continue to be paid under the terms of this Contract, as well as to be reimbursed for additional costs reasonably and necessarily incurred by them during such period for the purposes of the Services

and in reactivating the Service after the end of such period.

#### 2.5. Termination

# 2.5.1 By the Procuring Entity

The Procuring Entity may terminate this Contract, by not less than thirty (30) days' written notice of termination to the Insurance Provider, to be given after the occurrence of any of the events specified in paragraphs (a) through

(d) Of this Sub-Clause 2.5.1:

- a) If the Insurance Provider does not remedy a failure in the performance of its obligations under the Contract, within thirty (30) days after being notified or within any further period as the Procuring Entity may have subsequently approved in writing;
- b) If the Insurance Provider become in solvent or bankrupt;
- c) if, as the result of Force Majeure, the Insurance Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- d) if the Insurance Provider, in the judgment of the Procuring Entity has engaged in Fraud and Corruption, as defined in paragraph 2.2a. of Attachment1 to the GCC, in competing for or in executing the Contract

# 2.5.2 By the Insurance Provider

The Insurance Provider may terminate this Contract, by not less than thirty (30) days' written notice to the Procuring Entity, such notice to be given after the occurrence of any of the events specified in paragraphs (a) and (b) of this Sub-Clause 2.5.2:

- a) If the Procuring Entity fails to pay any monies due to the Insurance Provider pursuant to this Contract and not subject to dispute pursuant to Clause 7 within forty-five (45) days after receiving written notice from the Insurance Provider that such payment is overdue; or
- b) if, as the result of Force Majeure, the Insurance Provider is unable to perform a material portion of the Services for a period of not less than sixty (60) days.

# 2.5.3 Payment upon Termination

Upon termination of this Contract pursuant to Sub-Clauses 2.5.1 or 2.5.2, the Procuring Entity shall make the following payments to the Insurance Provider:

- a) remuneration pursuant to Clause 5 for Services satisfactorily performed prior to the effective date of termination;
- b) except in the case of termination pursuant to paragraphs (a), (b), (d) of Sub-Clause 2.5.1, reimbursement of any reasonable cost incident to the prompt and orderly termination of the Contract.
- c) The Insurance provider shall pay or refund to the Procuring Entity any moneys paid but for which no consume rate services were provided.

## 3. Obligations of the Insurance Provider

## 3.1 General

The Insurance Provider shall perform the Services in accordance with the terms of the signed Insurance Policy and the Schedule of Requirements, and carry out its obligations with all due diligence, efficiency, and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe methods. The Insurance Provider shall always act, in respect of any matter relating to this Contract or to the Services, as faithful adviser

to the Procuring Entity, and shall at all times support and safeguard the Procuring Entity's legitimate interests in any dealings with Subcontractors or third parties.

# 3.2 Conflict of Interests

3.2.1 Insurance Provider Not to Benefit from Commissions and Discounts.

The remuneration of the Insurance Provider pursuant to Clause 6 shall constitute the Insurance Provider's sole



remuneration in connection with this Contract or the Services, and the Insurance Provider shall not accept for their own benefit any trade commission, discount, or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of their obligations under the Contract, and the Insurance Provider shall use their best efforts to ensure that the Personnel, any Subcontractors, and agents of either of them similarly shall not receive any such additional remuneration.

3.2.2 Insurance Provider and Affiliates Not to be Otherwise Interested in Services other than the insurance Services

The Insurance Provider agree that, during the term of this Contract and after its termination, the Insurance Provider and its affiliates, as well as any Subcontractor and any of its affiliates, shall be disqualified from providing goods, works, or Services (other than the insurance Services and any continuation thereof) for any contingency resulting from or closely related to the Services.

# 3.2.3 Prohibition of Conflicting Activities

Neither the Insurance Provider nor its Subcontractors nor the Personnel shall engage, either directly or indirectly, in any of the following activities:

- a) During the term of this Contract, any business or professional activities in Kenya which would conflict with the activities as signed to them under this Contract;
- b) during the term of this Contract, neither the Insurance Provider nor their Subcontractors shall hire public employees in active duty or on any type of leave, to perform any activity under this Contract;
- c) after the termination of this Contract, such other activities as may be specified in the SCC.

# 3.3 Confidentiality

The Insurance Provider, its Subcontractors, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract, or the Procuring Entity's business or operations without the prior written consent of the Procuring Entity.

# 3.4 Reporting Obligations

The Insurance Provider shall submit to the Procuring Entity there ports and documents specified in Appendix B in the form, in the numbers, and within the periods set for thin the said Appendix.

## 3.5 Documents Prepared by the Insurance Provider to Be the Property of the Procuring Entity.

All reports, and other documents and software submitted by the Insurance Provider in accordance with Sub-Clause 3.4 shall become and remain the property of the Procuring Entity, and the Insurance Provider shall, not later than upon termination or expiration of this Contract, deliver all such documents and software to the Procuring Entity, together with a detailed inventory thereof. The Insurance Provider may retain a copy of such documents and software. Restrictions about the future use of these documents, if any, shall be **specified in the SCC**.

## 3.6 Liquidated Damages

## 3.6.1 Payments of Liquidated Damages

The Insurance Provider shall pay liquidated damages to the Procuring Entity at the rate per day **stated in the SCC** for each day that the Insurance Provider fails to pay the agreed compensation costs beyond or later the agreed date when such compensation should be made. The date by when the compensation costs should be made is specified in **the SCC**. The total amount of liquidated damages shall not exceed the amount **defined in the SCC**. The Procuring Entity may deduct liquidated damages from payments due to the Insurance Provider. Payment of liquidated damages shall not affect the Insurance Provider's liabilities.

# 3.6.2 Correction for Over-payment

The Procuring Entity shall correct any overpayment of liquidated damages by the Insurance Provider by adjusting the next payment premium or certificate. The Insurance Provider shall be paid interest on the overpayment, calculated from the date of payment to the date of repayment, at the rates specified in Sub-Clause 6.5.

# 3.7 Performance Security

The Insurance Provider shall not be required to provide any Performance Security to the Procuring Entity.

# 3.8 Fraud and Corruption

The Procuring Entity requires compliance with the Government's Anti-Corruption laws and its prevailing sanctions. The Procuring Entity requires the Insurance Provider to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the tendering process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

## 4. Insurance Provider's Personnel

The Contract shall not obligate the Insurance Provider to provide any specific personnel for carrying out of the Services.

# 5. Obligations of the Procuring Entity

# 5.1 Change in the Applicable Law

If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost of the Services rendered by the Insurance Provider, then the remuneration and reimbursable expenses otherwise payable to the Insurance Provider under this Contract shall be increased or decreased accordingly by agreement between the Parties, and corresponding adjustments shall be made to the amounts referred to in Sub-Clauses 6.2 (a) or (b), as the case may be.

# 6. Payments to the Insurance Provider

## 6.1 Lump-Sum Remuneration

The Insurance Provider's remuneration shall not exceed the Contract Price and shall be a fixed lump-sum. Except as provided in Sub-Clause 5.1, the Contract Price may only be increased above the amounts stated in Sub-Clause 6.2 if the Parties have agreed to additional payments in accordance with Sub-Clauses 2.3 and 6.3.

## 6.2 Contract Price

The price payable is **set forth in the SCC**.

# 6.3 Terms and Conditions of Payment

Payments will be made to the Insurance Provider according to the payment schedule **stated in the SCC**.

## 6.4 Interest on Delayed Payments

If the Procuring Entity has delayed payments beyond thirty (30) days after the due date stated in the SCC, interest shall be paid to the Insurance Provider for each day of delay at the rate stated in **the SCC**.

# 7. Quality Control

The contract shall not have any quality control modalities as this is not envisaged in the industry

## 8 Settlement of Disputes

## 8.1 Amicable Settlement



Good the matters of the dispute. The Parties shall attempt to settle the dispute amicably. If the dispute cannot be settled amicably, the complaining party should move to commence arbitration after thirty days from the day on which a notice was given, even if no attempt at an amicable settlement has been made.

# 8.2 Arbitration if the Insurance Provider is a Kenyan firm

- 8.2.1 Any claim or dispute between the Parties arising out of or in connection with the Contract not settled amicably in accordance with Sub-Clause 8.1 shall be finally settled by arbitration. Arbitration shall be conducted in accordance with the Arbitration Laws of Kenya.
- 8.2.2 The arbitrators shall have full power to open up, review all matters relevant to the dispute. Nothing shall disqualify representatives of the Parties from being called as a witness and giving evidence before the arbitrators on any matter whatsoever relevant to the dispute.
- 8.2.3 Arbitration may be commenced prior to or after completion of the services. The obligations of the Parties shall not be altered by reason of any arbitration being conducted during the progress of the services.
- 8.2.4 The terms of the remuneration of each or all the members of Arbitration shall be mutually agreed upon bythe Parties when agreeing the terms of appointment. Each Party shall be responsible for paying one-half of this remuneration.
- 8.2.5 In case of any claim or dispute, such claim or dispute shall be notified in writing by either party to the other with a request to submit it to arbitration and to concur in the appointment of an Arbitrator within thirty days of the notice. The dispute shall be referred to the arbitration and final decision of a person to be agreed between the parties. Failing agreement to concur in the appointment of an Arbitrator, the Arbitrator shall be appointed, on the request of the applying party, by the Chairman or Vice Chairman of any of the following institutions the:
  - i) Law Society of Kenya, or
  - ii) Chartered Institute of Arbitrators (Kenya Branch), or
  - iii) Insurance Institute of Kenya, or
  - iv) The Actuarial Society of Kenya.
- 8.2.6 The institution written to first by the aggrieved party shall take precedence over all other institutions.
- 8.2.7 The award of such Arbitrator shall be final and binding upon the parties.

# 8.3 Failure to Comply with Arbitrator's Decision

- 8.3.1 In the event that a Party fails to comply with a final and binding Arbitrator's decision, then the other Party may, without prejudice to any other right sit may have, refer the matter to a competent Court of law.
- 8.4 Arbitration if the Insurance Provider is a foreign firm
- **8.4.1** Arbitration proceedings shall be conducted in accordance with the rules of procedure specified in the **SCC**.

# SECTION VII - SPECIAL CONDITIONS OF CONTRACT

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
1.1	The Parties to the Contract are:
	The Procuring Entity is
	The Insurance Provider is
	The contract name is
1.4	For <u>notices</u> , the Procuring Entity's address shall be: Attention: [insert full name of person, if applicable] Postal address (full postal address) Physical Address (full Location Address-insert city, street name, Building named floor number, room number)
	Telephone: [include telephone number, including country and city codes]
	Electronic mail address: [insert e-mail address, if applicable]
1.6	The Authorized Representatives are:
1.0	For the Procuring Entity:
	[Name, Postal Address, Email, Telephone Number]
	For the Insurance Provider:
2.1	The date on which this Contract shall come into effect is
2.2	The Commencement date and duration of the insurance cover shall be:
2.2	Completion or Expiry Date
	Duration of the coverage
3.2.3	After the termination of this Contract, the activities are:
	The liquidated damages per day is
3.6.1	The date by when the compensation costs should be made is days.
	The total amount of liquidated damages shall not exceed
6.2 –6.4	Contract Price is
	The price shall be made in one lumpsum on contract signature or the price shall be made on monthly installments of
6.4	Interest shall be paid to the Insurance Provider for each day of delayed payment at the rate of% per month.

Number of GC Clause	Amendments of, and Supplements to, Clauses in the General Conditions of Contract
8.4.1	The rules of procedure for arbitration proceedings with a foreign Insurance Provider shall be as follows:  [For contracts entered into with foreign sellers, International commercial arbitration may have practical advantages over other dispute settlement methods}.
	(i) I/the Procuring Entity chooses the UNCITRAL Arbitration Rules, the following sample clause should be inserted:
	Any dispute, controversy or claim arising out for relating to this Contract, or breach, termination or invalidity thereof, shall be settled by arbitration in accordance with the UNCITRAL Arbitration Rules as at present inforce.
	(ii) If the Procuring Entity chooses the Rules of ICC, the following sample clause should be inserted:
	All disputes arising in connection with the present Contract shall be finally settled under the Rules of Conciliation and Arbitration of <b>the International Chamber of Commerce</b> by one or more arbitrators appointed in accordance with said Rules.
	(iii) I/the Procuring Entity chooses the Rules of Arbitration Institute of Stockholm Chamber of Commerce, the following sample clause should be inserted:
	Any dispute, controversy or claim arising out of or in connection with this Contract, or the breach termination or invalidity thereof, shall be settled by arbitration in accordance with the Rules of the Arbitration Institute of the Stockholm Chamber of Commerce.
	(iv) IftheProcuringEntitychoosestheRulesoftheLondonCourtofInternational Arbitration, the following clause should be inserted:
	Any dispute arising out of or in connection with this Contract, including any question regarding its existence, validity or termination shall be referred to and finally resolved by arbitration under the Rules of the London Court of International Arbitration, which rules are deemed to be incorporated by reference to this clause.

## APPENDIX TO THE CONTRACT

The Appendix to the contract shall be an **Insurance Policy** that shall provide a description of the Services, compensation procedure and all the contingencies that shall lead to the compensation claim. The Policy is an industry form (the norm) but would be negotiated before signature to ensure all parties concerns are taken into account. No provision or Clause in the Insurance Policy shall negate any Condition of Contract.

